

Format for Compliance Report on Corporate Governance to be submitted by a listed entity on a quarterly basis

1. Name of the Listed Entity: **Small Industries Development Bank of India**
2. Quarter ending: **June 30, 2023**

I. Composition of Board of Directors												
Title (Mr. / Ms)	Name of the Director	PAN^s & DIN	Category (Chairperson /Executive/ Non-Executive/ independent / & Nominee)*	Initial Date of Appointment	Date of Reappointment	Date of Cessation	Tenure *	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)] & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of the LODR Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of the LODR Regulations)
Mr.	Sivasubramanian Ramann	07685657	Chairperson / Executive	19/04/2021	-	-	26 Months	25/02/1966	1	0	0	0
Mr.	V. Satya Venkata Rao ¹	00334394	Executive	05/06/2020	-	04/06/2023	36 Months -	14/01/1965	1	0	2	0
Mr.	Sudatta Mandal	00942070	Executive	03/05/2021	-	-	26 Months	20/04/1968	1	0	2	0
Dr.	Rajneesh	07781942	Non-Executive / Independent / Nominee	22/02/2023	-	-	4 month	23/11/1973	1	1	0	0
Mr.	Bhushan Kumar Sinha	08135512	Non-Executive / Independent / Nominee	06/01/2023	-	-	6 months	20/07/1964	4	4	4	0
Mr.	K. Sampath Kumar	09706264	Non-Executive / Independent / Nominee	20/07/2022	-	-	11 months	21/03/1967	1	1	2	0

Mr.	Krishna Singh Nagnyal	06857451	Non-Executive / Independent / Nominee	29/10/2021	-	-	20 Months	01/02/1962	1	1	2	0
Mr.	Monomoy Mukherjee#	DIN Not available	Non-Executive / Independent / Nominee	29/12/2021	-	-	18 Months	30/09/1964	1	1	0	0
Mr.	G. Gopalakrishna	06407040	Non-Executive / Independent	11/08/2018	11/08/2021	-	59 Months	11/03/1956	1	1	1	1
Ms.	Nupur Garg	03414074	Non-Executive / Independent	04/02/2019	04/02/2022	-	53 Months	09/08/1974	2	2	1	1
Mr.	Amit Tandon	01602336	Non-Executive / Independent	08/08/2021	-	-	23 Months	25/10/1960	1	1	0	0

Whether Regular chairperson appointed – **Yes. As per the SIDBI Act 1989, Chairman & Managing Director (CMD) has been appointed by the Govt of India.**

Whether Chairperson is related to managing director or CEO – **Pursuant to Section 6 (1) (a) of the SIDBI Act, Chairman and Managing Director is appointed by the Government of India. SIDBI Act, 1989 does not separate the position of chairman and Managing Director in SIDBI.**

* PAN of any director would not be displayed on the website of Stock Exchange

* Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

* to be filled only for Independent Director. Tenure would mean total period from which independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

1. Completion of tenure on its normal course.

Director don't have DIN.

II. Composition of Committees					
Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/Non-Executive/ independent/ Nominee)*	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	1. Smt. Nupur Garg	Chairperson / Non-Executive / Independent	25/10/2021	-
		2. Shri V. Satya Venkata Rao	Executive	12/06/2020	04/06/2023
		3. Shri Sudatta Mandal	Executive	07/05/2021	-
		4. Shri Bhushan Kumar Sinha	Non-Executive / Independent / Nominee	16/01/2023	-
		5. Shri K. Sampath Kumar	Non-Executive / Independent / Nominee	14/10/2022	-
		6. Shri Krishna Singh Nagnyal	Non-Executive / Independent / Nominee	14/10/2022	-
2. Nomination & Remuneration Committee	No	1. Shri Bhushan Kumar Sinha	Non-Executive / Independent / Nominee	06/02/2023	-
		2. Shri Krishna Singh Nagnyal	Non-Executive / Independent / Nominee	24/01/2022	-
		3. Shri G. Gopalakrishna	Non-Executive / Independent	24/01/2022	-
		4. Smt. Nupur Garg	Non-Executive / independent	25/05/2021	-
3. Risk Management Committee (if applicable)	Yes	1. Shri Amit Tandon	Chairperson / Non-Executive / Independent	25/10/2021	-
		2. Shri V. Satya Venkata Rao	Executive	12/06/2020	04/06/2023
		3. Shri Sudatta Mandal	Executive	07/05/2021	-
		4. Shri K. Sampath Kumar	Non-Executive / Independent / Nominee	25/07/2022	-
		5. Shri Monomoy Mukherjee	Non-Executive / Independent / Nominee	11/01/2022	-
4. Stakeholders Relationship Committee	Yes	1. Shri G. Gopalakrishna	Chairperson / Non-Executive / independent	14/10/2022	-
		2. Shri V. Satya Venkata Rao	Executive	14/10/2022	04/06/2023
		3. Shri Sudatta Mandal	Executive	14/10/2022	-
		4. Shri K. Sampath Kumar	Non-Executive / Independent / Nominee	14/10/2022	-
		5. Shri Krishna Singh Nagnyal	Non-Executive / Independent / Nominee	14/10/2022	-

* Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)
06/02/2023	12/05/2023	Yes	10	7	95 Days

* to be filled in only for the current quarter meetings

IV. Meeting of Committees					
AUDIT COMMITTEE					
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days**
10/05/2023	Yes	6	4	06/02/2023	93 Days
RISK MANAGEMENT COMMITTEE					
02/05/2023	Yes	4	2	02/02/2023	89 days

* to be filled in only for the current quarter meetings

** This information has to mandatorily be given for audit committee and Risk Management Committee, for rest of the committees giving this information is optional

V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPTs	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes

Note:

- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of LODR Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- If status is "No" details of non-compliance may be given here.

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015 - Yes
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee - Yes
 - b. Nomination & Remuneration Committee -Yes
 - c. Stakeholders Relationship Committee - Yes
 - d. Risk management committee (applicable to the top 1000 listed entities) – N.A.
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 - Yes
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Yes
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here - Yes

Vishnu Kumar Sah
Company Secretary / Compliance Officer

Note:

Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.