

30 चयनित एमएसएमई समूहों के नैदानिक अध्ययन- वित्तीय और गैर-वित्तीय कमियों की मैपिंग करने के लिए एजेंसी के चयन हेतु प्रस्ताव संबंधी अनुरोध

Request for Proposal [RfP] for Selection of Agency for undertaking Diagnostic studies-mapping financial & non-financial gaps for selected 30MSME clusters

निविदा सं. T002207595 दिनांक : ३०/०७/२०२०

Tender No.: T002207595 dated : 30/07/2020



भारतीय लघु उद्योग विकास बैंक
संवर्द्धन एवं विकास उद्-भाग
तृतीयतल, आत्मा राम हाउस
1, टोल्सटोय मार्ग, कनाट प्लेस, नई दिल्ली – 110001



Small Industries Development Bank Of India
Promotion & Development (P&D) Vertical,
3rd Floor, Atma Ram House,
1 Tolstoy Marg, Connaught Place, New Delhi - 110001
वेबसाइट / Website: www.sidbi.in

दस्तावेज़ की कीमत /Price of the document: Rs.1,180/- (including applicable GST)

यह दस्तावेज़ भारतीय लघु उद्योग विकास बैंक (SIDBI) की संपत्ति है। यह सिडबी की लिखित अनुमति के बिना किसी भी माध्यम, इलेक्ट्रॉनिक या अन्यथा, पुनर्प्रस्तुत, वितरित या अभिलिखित नहीं किया जा सकता है। इस दस्तावेज़ में उल्लिखित सामग्री के उपयोग, यहाँ तक कि निर्दिष्ट उद्देश्य के अतिरिक्त, किसी भी अन्य उद्देश्य के लिए अधिकृत कर्मियों / एजेंसियों के लिए भी कड़ाई से निषिद्ध है, क्योंकि इसे कॉपीराइट का उल्लंघन समझा जाएगा और इस प्रकार यह भारतीय कानून के अंतर्गत दंडनीय होगा।

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बोली हेतु आमंत्रण / INVITATION TO BID

1 प्रस्तावना एवं आवश्यकताएँ / INTRODUCTION AND REQUIREMENT:

भारतीय लघु उद्योग विकास बैंक अधिनियम, 1989 के माध्यम से स्थापित निगम भारतीय लघु उद्योग विकास बैंक (सिडबी) एमएसएमई क्षेत्र के संवर्द्धन, वित्तपोषण और विकास के लिए प्रमुख वित्तीय संस्थान के रूप में कार्य करता है और यह इस प्रकार की गतिविधियों में संलग्न संस्थाओं के कार्यों में समन्वय भी करता है। सिडबी की भूमिका और इसके प्रकार्य इसकी वेबसाइट <https://www.sidbi.in/> में विनिर्दिष्ट हैं।

Small Industries Development Bank of India [SIDBI], a corporation established by the Small Industries Development Bank of India Act, 1989, acts as the principal Financial Institution for promotion, financing and development of the MSME sector and also to coordinate with institutions engaged in similar activities. The role and function of SIDBI are given in its website "www.sidbi.in".

भारतीय लघु उद्योग विकास बैंक, 30 एमएसएमई समूहों के नैदानिक अध्ययन को अद्यतन करने – वित्तीय एवं गैर-वित्तीय अंतरालों का पता लगाने हेतु मान्यताप्राप्त एजेंसियों से पैरा 6 में निर्दिष्ट नियम एवं शर्तों (कार्य संबंधी दायरा) के अनुरूप, आवेदन आमंत्रित करता है।

The Bank invites applications from accredited agencies undertaking Diagnostic studies - mapping financial & non-financial gaps for selected 30 existing MSME clusters as per para 6(Scope of Work) of Terms and Conditions.

आपसे अनुरोध है कि आप अपनी बोली संलग्न अनुलग्नकों और प्ररूपों में उल्लिखित अनुसूची तथा नियमों और शर्तों के समनुरूप विधिवत रूप से प्रस्तुत करें।

You are requested to submit your bids strictly conforming to the schedule and terms and conditions given in **Annexures** and formats attached.

2. महत्वपूर्ण सूचना / CRITICAL INFORMATION:

S.No. क्र.सं.	कार्यक्रम / Events	विवरण/ Particular / दिनांक और समय/ Date & Time												
1	उद्देश्य / Purpose	<p>30 मौजूदा एमएसएमई समूहों के नैदानिक अध्ययन - वित्तीय और गैर-वित्तीय कमियों की मैपिंग करने के लिए एजेंसी के चयन विभिन्न पोककेट्स के अनुसार हेतु प्रस्ताव संबंधी अनुरोध</p> <p>Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters as distributed in various pockets below:</p> <table border="1"> <thead> <tr> <th>SI No</th> <th>States / UTs</th> </tr> </thead> <tbody> <tr> <td>Pocket 1</td> <td>J&K#, Ladakh# & Uttarakhand</td> </tr> <tr> <td>Pocket 2</td> <td>Delhi, Haryana & Rajasthan</td> </tr> <tr> <td>Pocket 3</td> <td>Uttar Pradesh & Assam</td> </tr> <tr> <td>Pocket 4</td> <td>Gujarat, Maharashtra & Karnataka</td> </tr> <tr> <td>Pocket 5</td> <td>Andhra Pradesh & Tamil Nadu</td> </tr> </tbody> </table>	SI No	States / UTs	Pocket 1	J&K#, Ladakh# & Uttarakhand	Pocket 2	Delhi, Haryana & Rajasthan	Pocket 3	Uttar Pradesh & Assam	Pocket 4	Gujarat, Maharashtra & Karnataka	Pocket 5	Andhra Pradesh & Tamil Nadu
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Pocket 5	Andhra Pradesh & Tamil Nadu													
2	बयाना राशि / EMD / Bid Security	<p>सभी आवेदनों के साथ रु. 1,40,000/- (एक लाख चालीस हजार रूपए मात्र) की ब्याज मुक्त सुरक्षा जमा राशि देनी होगी।</p> <p>All the responses must be accompanied by a refundable interest free security deposit of Rs.1,40,000 (Rupees One lakh Forty Thousand only).</p>												
3	आवेदन शुल्क / Application Fee	<p>सभी आवेदनों के साथ रु.1,180/- (रूपए एक हजार एक सौ अस्सी मात्र) की गैर वापसी योग्य आवेदन शुल्क देना होगा।</p> <p>All the responses must be accompanied by a Nonrefundable application fee of Rs. 1,180/- (Rupees One Thousand one hundred eighty only).</p>												
4	बोली-पूर्व बैठक के लिए स्पष्टीकरण की मांग की अंतिम तिथि / Last date for seeking clarifications for pre-bid meeting	<p>10 अगस्त 2020 को अपराह्न 5.00 बजे / 10 August 2020 by 05:00 pm</p> <p>Clarifications to be sought only via email at E-mail Ids mentioned at Sl. No. 12 of this section, no other means shall be entertained.</p>												

5	बोली-पूर्व बैठक / Pre Bid meeting	11 अगस्त 2020 को अपराह्न 15.00 बजे / 11 August 2020 at 15:00 pm The meeting shall be virtual/online over MS Teams Call.
6	Last date for submission of bids/ बोली जमा करने की अंतिम तिथि	24 अगस्त 2020 को अपराह्न 5.00 बजे / 24 August 2020 at 05.00 pm Only E- bids (over E-mail) to be furnished. No physical bids shall be entertained.
7	Address for Bid Submission / pre-bid / बोली जमा करने का पता	Not Applicable (Soft copies of the Bids are to be submitted through Emails only)
8	न्यूनतम व तकनीकी बोली खोलने की तिथि व समय / Date & Time of Opening of Minimum Eligibility bid & Technical bid	25 अगस्त 2020 को प्रातः 11:00 बजे / 25 August 2020 at 11:00 AM
9	वाणिज्यिक बोली खोलने की तिथि व समय / Date and time of opening of commercial bids	बाद में सूचित किया जायेगा / To be intimated at a later date
10	बोली के वैद्यता / Bid Validity	बोली जमा करने की अंतिम तिथि से 180 दिन तक / 180 days from the last date of bid submission.
11	बोलीदाताओं द्वारा की जाने वाली प्रस्तुतियाँ / Presentations to be made by bidders	बोलीदाताओं को प्रस्तुति देने का प्रबंध करना होगा। जिसकी तिथि बोली प्रस्तुत करने के बाद सूचित की जाएगी। The bidders are required to arrange for Presentation. Date would be intimated after bid submission.
12	सिडबी अधिकारियों के संपर्क विवरण / Contact details of SIDBI officials	
	स्मृति बाजपेई Smirti Bajpai	देव कुमार Dev Kumar
	011-23448418 smirtib@sidbi.in	011-23448403 devkumar@sidbi.in
		अभिजीत दास Abhijit Das
		011-23448352 abhijitdas@sidbi.in
13	स्वतंत्र बाहरी निगरानीकर्ता (आईईएम) केंद्रीय सतर्कता आयोग द्वारा नियुक्त / Independent External Monitor (IEM) appointed by the CVC	श्री नागेश्वर राव कोरीपल्ली (आईआरएस, सेवानिवृत्त) ३८ द ट्रेल्स, मानिकोंडा, आर. आर. डिस्ट्रिक्ट हैदराबाद – 500089, मोबाइल: 9788919555 / knageshwarrao@gmail.com Shri. Nageshwar Rao Koripaali, (IRS retd.), 38, The Trails, Manikonda, R.R District Hyderabad -500089, Mobile: 9788919555, knageshwarrao@gmail.com

14	सिडबी की वेबसाइट / Website of SIDBI	www.sidbi.in
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Note:

1. SIDBI reserves the right to change dates without assigning any reasons thereof. Intimation of the same shall be notified on the SIDBI's website
2. This bid document is not transferable. If a holiday is declared on the dates mentioned above, the bids shall be received / opened on the next working day at the same time specified above and at the same venue unless communicated otherwise.
3. In view of COVID 19 situation,
 - 3.1.1 Online bids should be submitted in password protected format
 - 3.1.2 Pre-Bid and Bid opening meetings can be attended via Skype/Teams with prior permission of SIDBI on authorized links to be provided by SIDBI
 - 3.1.3 Application fee and EMD can be paid online

Bank account details of SIDBI for online fund transfer:

Bank: State Bank of India
Branch: KG Marg, Connaught Place, New Delhi
Account name – Small Industries Development Bank of India
Account type – Current a/c
Account number -37832223406
IFSC - SBIN0050191
GSTIN & PAN details of SIDBI:
GSTIN - 07AABCS3480N1Z0
PAN - AABCS3480N

Definitions:

- 1 SIDBI / Bank shall mean "Small Industries Development Bank of India".
- 2 RFP shall mean "RFP Document"
- 3 Recipient/ Respondent and Bidder/ Contractor / Vendor(s)/ Service Provider shall mean Respondent to the RFP Document".
- 4 Cluster /MSME Cluster : These terms are interchangeability used
- 5 Tender Document shall also mean Bid Document
- 6 Tender shall mean Pre-qualification, Technical and Financial / Commercial Bid

3 Instruction to Bidders**3.1 Preface**

This request for proposal document ('RFP document' or 'RFP') has been prepared solely for the purpose of enabling SIDBI to select an agency / firm / Service Provider for "Selection of Agency for undertaking Diagnostic studies on financial and non financial issues". The RFP document is not a recommendation, offer or invitation to enter into a contract, agreement or any other arrangement, in respect of the services. The provision of the services is subject to observance of selection process and appropriate documentation being agreed between SIDBI and any successful Bidder as identified by SIDBI, after completion of the selection process as detailed in this document.

3.2 INFORMATION PROVIDED

The RFP document contains statements derived from information that is believed to be true and reliable at the date obtained but does not purport to provide all of the information that may be necessary or desirable to enable an intending contracting party to determine whether or not to enter into a contract or arrangement with Bank in relation to the provision of services. Neither Bank nor any of its directors, officers, employees, agents, representative, contractors,

or advisers gives any representation or warranty (whether oral or written), express or implied as to the accuracy, updating or completeness of any writings, information or statement given or made in this RFP document. Neither Bank nor any of its directors, officers, employees, agents, representative, contractors, or advisers has carried out or will carry out an independent audit or verification or investigation or due diligence exercise in relation to the contents of any part of the RFP document.

3.3 FOR RESPONDENT ONLY

The RFP document is intended solely for the information of the party to whom it is issued ("the Recipient" or "the Respondent" or "the Bidder").

3.4 DISCLAIMER:

Subject to any law to the contrary, and to the maximum extent permitted by law, Bank and its directors, officers, employees, contractors, representatives, agents, and advisers disclaim all liability from any loss, claim, expense (including, without limitation, any legal fees, costs, charges, demands, actions, liabilities, expenses or disbursements incurred therein or incidental thereto) or damage, (whether foreseeable or not) ("Losses") suffered by any person acting on or refraining from acting because of any presumptions or information (whether oral or written and whether express or implied), including forecasts, statements, estimates, or projections contained in this RFP document or conduct ancillary to it whether or not the losses arise in connection with any ignorance, negligence, inattention, casualness, disregard, omission, default, lack of care, immature information, falsification or misrepresentation on the part of Bank or any of its directors, officers, employees, contractors, representatives, agents, or advisers.

3.5 COSTS TO BE BORNE BY RESPONDENTS

All costs and expenses incurred by Respondents in any way associated with the development, preparation, and submission of responses, including but not limited to the attendance at meetings, discussions, demonstrations, presentations etc. and providing any additional information required by SIDBI, will be borne entirely and exclusively by the Recipient/ Respondent. Stamp duty that may be incurred towards entering into agreement with the successful bidder for awarding the contract has to be borne by the bidder.

3.6 NO LEGAL RELATIONSHIP

No binding legal relationship will exist between any of the Recipients / Respondents and SIDBI until execution of a contractual agreement.

3.7 RECIPIENT OBLIGATION TO INFORM ITSELF

The Recipient must apply its own care and conduct its own investigation and analysis regarding any information contained in the RfP document and the meaning and impact of that information.

3.8 EVALUATION OF OFFERS

The issuance of RFP document is merely an invitation to offer and must not be construed as any agreement or contract or arrangement nor would it be construed as any investigation or review carried out by a Recipient. The Recipient unconditionally acknowledges by submitting its response to this RFP document that it has not relied on any idea, information, statement, representation, or warranty given in this RFP document.

3.9 ACCEPTANCE OF SELECTION PROCESS

Each Recipient / Respondent having responded to this RfP acknowledges to have read, understood and accepts the selection & evaluation process mentioned in this RfP document. The Recipient / Respondent ceases to have any option to object against any of these processes at any stage subsequent to submission of its responses to this RfP.

3.10 ERRORS AND OMISSIONS

Each Recipient should notify SIDBI of any error, fault, omission, or discrepancy found in this RFP document but not later than twelve business days prior to the due date for lodgement of Response to RFP.

3.11 ACCEPTANCE OF TERMS

Recipient will, by responding to SIDBI for RfP, be deemed to have accepted the terms as stated in this RFP.

3.12 REQUESTS FOR PROPOSAL

3.12.1 Recipients are required to direct all communications (including pre-bid queries) related to this RfP, through the Nominated Point of Contact persons on E-mail.

Contact Smirti Bajpai	Contact Dev Kumar
Position: Manager	Position: Assistant General Manager
Email- smirtib@sidbi.in	Email- devkumar@sidbi.in
Telephone- +91 -8800504567	Telephone- +91-99109899905

3.12.2 SIDBI may, in its absolute discretion, seek additional information or material from any Respondents after the RfP closes and all such information and material provided will be taken to form part of that Respondent's response.

3.12.3 Respondents should provide details of their contact person, telephone, fax, email and full address(s) to ensure that replies to RfP could be conveyed promptly.

3.12.4 If SIDBI, in its absolute discretion, deems that the originator of the question will gain an advantage by a response to a question, then SIDBI reserves the right to communicate such response to all Respondents.

3.13 NOTIFICATION

SIDBI will notify all short-listed Respondents in writing or by email as soon as possible about the outcome of their RfP. SIDBI is not obliged to provide any reasons for any such acceptance or rejection.

4 INFORMATION TO BIDDERS

4.1 BID PRICE:

4.1.1 Non-refundable Bid Price of Rs.1,180/- (Rupee One Thousand One Hundred Eighty only) including applicable to be paid to 'Small Industries Development Bank of India' as per instructions mentioned in critical section of this RfP, along with RFP response.

4.1.2 The Bank may, at its discretion, reject any Bidder where the bid price / tender fee has not been furnished with the RfP response.

4.1.3 SIDBI is governed by provisions of the Public Procurement Policy for Micro and Small Enterprises (MSEs) as circulated by The Ministry of MSME, GoI. These provisions shall be applicable to Micro and Small Enterprises (MSEs) registered with District Industries Centers or Khadi and Village Industries Commission or Khadi and Village Industries Board or Coir Board or National Small Industries Corporation or Directorate of Handicrafts and Handloom or Udyog Aadhaar Memorandum or any other body specified by Ministry of Micro, Small and Medium Enterprises (MSMEs).

4.1.4 Such MSEs would be entitled for exemption from furnishing tender fee and earnest money deposit (EMD). In case of any issue on the subject matter, the MSEs may approach the tender inviting authority to resolve their grievances. Agencies/ Bidders desirous of availing exemptions/ preference under above provisions should submit a copy of proof of Registration as MSEs/ and ownership of the same by SC/ST along with the tender/RFP

4.1.5 **Bidder is required to inform its MSME status as per following definition, if applicable.**

Revised Classification applicable w e f 1 st July 2020			
Criteria: Investment in Plant & Machinery/equipment and Annual Turnover			
Classification	Micro	Small	Medium
Manufacturing Enterprises and Enterprises rendering Services	Investment in Plant and Machinery or Equipment: Not more than Rs.1 crore and Annual Turnover ; not more than Rs. 5 crore	Investment in Plant and Machinery or Equipment: Not more than Rs.10 crore and Annual Turnover ; not more than Rs. 50 crore	Investment in Plant and Machinery or Equipment: Not more than Rs.50 crore and Annual Turnover ; not more than Rs. 250 crore

Note: It is clarified that the proof of document (UAM / NSIC Certificate, etc. as applicable) submitted by the bidder along-with the bid document shall only be considered for the purpose of checking & establishing the MSME status of the bidder. The exemption towards tender fee and EMD Fee, as allowed to MSEs, shall only be applicable & ascertained based on the document submitted and not based on the expected changes in the definition of the MSME in the future.

4.2 EARNEST MONEY DEPOSIT / BID SECURITY:

4.2.1 All the responses must be accompanied by a refundable interest free security deposit of amount of Rs.1,40,000/- (Rupees One lakh Forty thousand only).

4.2.2 EMD / Bid Security should be in the form of Demand Draft / Banker's Cheque in favour of "Small Industries Development Bank of India" payable at Delhi should be of a Scheduled Commercial Bank only and will be accepted subject to the discretion of the Bank.

OR

4.2.2.1 Bank guarantee (BG) from a Scheduled Commercial Bank valid for a period of 6 months from the last date of submission of bid and strictly in the format as prescribed in **Annexure - XI**.

4.2.2.2 OR through online fund transfer to SIDBI as per Bank account details mentioned above.

4.2.3 Format of EMD/ Bid Security is prescribed in Annexure- XII. Any bid received without EMD / Bid Security in proper form and manner shall be considered unresponsive and rejected.

4.2.4 No interest will be paid on EMD / Bid Security

4.2.5 Request for exemption from EMD / Bid Security will not be entertained. However, Micro and Small Enterprises (MSEs) registered with District Industries Centers or Khadi and Village Industries Commission or Khadi and Village Industries Board or Coir Board or National Small Industries Corporation or Directorate of Handicrafts and Handloom or any other body specified by Ministry of Micro, Small and Medium Enterprises (MSMEs) would be entitled for exemption from furnishing EMD / Bid Security.

4.2.6 The EMD amount (Bid Security) / BG of all unsuccessful bidders would be refunded/returned immediately upon occurrence of any of the following events, whichever is earlier:

4.2.6.1 Receipt of the signed contract and performance security from the successful bidder.

OR

4.2.6.2 Issue of Letter of Intent /Purchase Order to the successful bidder.

OR

- 4.2.6.3 The end of the bid validity period, including extended period (if any),
- 4.2.7 Successful Bidder will be refunded the EMD / Bid Security amount only after submission of performance guarantee by the bidder.
- 4.2.8 The bid security / EMD may be forfeited if:
- 4.2.8.1 Bidder withdraws its bids during the period of bid validity.
- 4.2.8.2 Bidder makes any statement or encloses any form which turns out to be false/ incorrect at any time prior to signing of the contract.
- 4.2.8.3 In case of successful Bidder, if the Bidder fails to sign the contract or fails to furnish performance guarantee.
- 4.2.8.4 Besides forfeiting the EMD / Bid Security, the Bank may ban the bidder from subsequent bidding for a maximum period of 3 years.

4.3 RFP CLOSING DATE :

RFP Response should be received by SIDBI not later than the time and date mentioned in 'Bid Critical Information' section before, Via email over authorize mail IDs official mail ID.

4.4 RFP VALIDITY PERIOD-

- 4.4.1 The Bids must remain valid and open for evaluation according to their terms for a period of 90 days from the date of the submission of bids.
- 4.4.2 Prices and other terms offered by Bidders must be firm for an acceptance period of 180 days from last date for submission of bids as mentioned in 'Critical information' sheet.
- 4.4.3 In exceptional circumstances the Bank may solicit the Bidders consent to an extension of the period of validity. The request and response thereto shall be made in writing.
- 4.4.4 Bank, however, reserves the right to call for fresh quotes at any time during the period, if considered necessary.

4.5 LATE RFP POLICY-

RESPONSES received after the due date / time i.e. bid submission date/ time would be considered late and may not be accepted or opened.

4.6 Receiving of RFP Response

The submission of the response should be in the format outlined in this RFP. If the submission to this RFP does not include all the documents and information required or is incomplete, the RFP is liable to be summarily rejected. All submissions, including any accompanying documents, will become the property of Bank. The Recipient shall be deemed to have licensed, and granted all rights to the Bank to reproduce the whole or any portion of their submission for the purpose of evaluation and to disclose and/or use the contents of the submission as the basis for any resulting RFP process, notwithstanding any copyright or other intellectual property right of the Recipient that may subsist in the submission or accompanying documents.

a. Instructions for Bid Submission

- Considering the Pandemic Situation due to COVID-19 in the country, the interested bidders shall submit the Bids / Proposals to designated **E-mail Ids only in place of physical submission**. No Physical submission of the bids shall be entertained.
- While submitting the soft copies of the bids, the bidder shall prepare three (03) zip files as per the following for submission to SIDBI through email:
 - Zip File 01 - Minimum Eligibility Bid.zip (containing all the necessary information / templates / annexure to be provided as per the tender document)

- Zip File 02 – Password protected Technical Bid.zip (containing all the necessary information / templates / annexure to be provided as per the tender document)
- Zip File 03 – Password protected Commercial Bid.zip (as per the format provided in the tender)

Note:

(i) In case the file size of the Zip File 01 and Zip File 02 (as above) is very high and the bidders faces any challenges in sending these files (as attachments) in the mail, the bidder may also opt for providing the “One Drive” or “Google Drive” downloadable link (without any restriction / authorization required) for these zip file

(ii) It is clarified that the Zip File 03 – Commercial Bid.zip should mandatorily has to be enclosed in the mail and should not be provided through a downloadable link.

(iii) Multiple mails for submitting the bids should be avoided.

(The soft copies of the bids (as per the instructions indicated above) should be submitted to the following email ids only:

devkumar@sidbi.in

Smirtib@sidbi.in

- The bids received within the deadline i.e. **24 August 2020 at 5:00 PM only** shall be considered for further evaluation. It is clarified that any delay / lag due to connectivity of for whatsoever reason leading to delay in submission of the proposal shall be not accepted by SIDBI. Bidder to ensure that the proposal reaches to SIDBI Inbox through email before the deadline.
- **Regarding “Commercial bid” submission**

The soft copy of the commercial bid (Zip File 03 - Commercial Bid.zip) should be in the .pdf format (**not editable but printable**) only and should be “**Password Protected**”.

The password to open the commercial bids shall be shared by the Bidders at the time of opening of the commercial proposals only. The commercial proposals shall be opened only for the technically qualified bidders. The commercial bid in any other format and not meeting the above criteria shall not be accepted and the bidder shall be considered as “**Disqualified**”.

Further, it is also mentioned that the option / facility opted for submission of the soft copy of the bids is being provided by SIDBI due to the present COVID 19 pandemic situation.

SIDBI shall not be responsible for any claim by any of the bidder with regard to the cracking of the password or etc. in respect of the commercial bid.

Further, it is also clarified that at the time of opening of the commercial bid, if the bid file does not open or shows some error like “invalid file” or “corrupted file” or “Wrong Password” or any other reason, that bid shall not be considered for further evaluation and shall be considered as “Invalid Bid” and the respective bidder shall automatically be disqualified for the further evaluation stage.

b. Submission of Annexures

In regard to the annexures to be submitted on the Non-Judicial Stamp Paper, in case bidders find difficulty in obtaining the stamp papers, the bidder may submit the soft copies as per the following :

Annexure VI – Letter of Competence	Scanned Copy of the Non-Judicial Stamp Paper
Annexure VII – Power of Attorney	
Annexure XII – Non Disclosure Agreement	“OR”
Annexure XV – EMD/Bid Security Form	Scanned copy on the Letter Head of Bidder

Annexure XVII – Pre Contract Integrity Pact	Scanned copy on the Letter Head of Bidder
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All other annexures indicated in the RFP / tender documents are required to be submitted as per the tender document (soft copy -duly signed & stamped – as per the format).

It is mentioned that at the time of award of the contract, the original copy of the same shall be obtained on the Non-Judicial Stamp Paper of requisite value upfront before executing the contract with the final bidder.

C. EMD & Tender Fee Payment

Considering the lockdown situation due to COVID-19 pandemic, the bidder can make the payment towards EMD & Tender Fee through Online Mode in the following account of SIDBI (through RTGS / NEFT).

Name of the A/c Holder: Small Industries Development of India (SIDBI)

A/c Number : 37832223406

(CA) Bank Name : State Bank of India (SBI) Branch Name : Kasturba Gandhi Marg, Connaught Place, New Delhi 110001

IFSC Code : SBIN0050191

Upon making necessary payment, the bidder is required to submit the proof of the payment towards Tender Fee & EMD (Receipt Generated along-with Ref. No.) along-with the proposal. Bidder exempted from the Tender Fee & EMD (as per the provisions) shall be required to submit the MSE Registration Certificate to support the exemption. It may be mentioned that Non-submission of the requisite document shall lead to rejection of the proposal by SIDBI.

4.7 Requests for information

Recipients are required to direct all communications for any clarification related to this RFP, to the designated Bank officials and must communicate the same in writing or through e-mail by the time mentioned in 'Bid Critical Information' section before.

No query / clarification would be entertained over phone.

All queries relating to the RFP, technical or otherwise, must be in writing only and may be sent via email. The Bank will try to reply, without any obligation in respect thereof, every reasonable query raised by the Recipients in the manner specified.

However, the Bank will not answer any communication reaching the bank later than the time stipulated for the purpose.

The Bank may in its absolute discretion seek, but under no obligation to seek, additional information or material from any Respondents after the RFP closes and all such information and material provided must be taken to form part of that Respondent's response. Respondents should invariably provide details of their email address as responses to queries will be provided to all Respondents via email.

The Bank may in its sole and absolute discretion engage in discussion with any Respondent (or simultaneously with more than one Respondent) after the RFP closes to clarify any response.

4.8 PRE BID MEETING:

4.8.1 The Bank shall hold a pre-bid meeting on the date and time mentioned in '**Critical Information**' section. Purpose of the meeting is to bring utmost clarity on the scope of work and terms of the RfP being floated. The Bidders are expected to use the platform to have all their queries answered. No query will be entertained after the pre-bid meeting.

4.8.2 The Bank shall hold an Online pre-bid meeting over "**Skype For Business**" or "**Microsoft Team**" on the date and time mentioned in 'Critical Information' section above.

- 4.8.3 It would be the responsibility of the Bidders representatives (only one person per bidder) to join the online pre-bid meeting. The interested bidder are advised to submit the details of the Nodal Officer (Name, Official Email ID, Mobile Number) to participate in the pre-bid meeting. While sending the clarifications / queries to SIDBI, the interested bidders can indicate the details of the nodal officers in the same mail also. The Bank shall send meeting invite only to the person whose details have been shared with the Bank. In case, the meeting invite is not received by any of the designated representatives latest by 1700 hrs on 10/08/ 2020, he/ she should call or mail to Bank's designated officials whose details are given in 'Critical Information' sheet.
- 4.8.4 Clarification sought by bidder should be made in writing (Letter/E-mail etc) and submitted on or before the date as indicated in the 'Critical Information' section. Bank has discretion to consider any other queries raised by the bidder's representative during the pre-bid meeting.
- 4.8.5 The text of the clarifications asked (without identifying the source of enquiry) and the response given by the Bank, together with amendment to the bidding document, if any, will be posted on the Bank (www.sidbi.in) website and Central Public Procurement Portal (CPPP) [www.eprocure.gov.in] after the pre-bid meeting. It would be responsibility of the bidder to check the websites before final submission of bids.
- 4.8.6 If SIDBI, in its absolute discretion, deems that the originator of the question will gain an advantage by a response to a question, then SIDBI reserves the right to communicate such response to all Respondents.

4.9 AMENDMENT TO THE BIDDING DOCUMENTS:

- 4.9.1 At any time prior to the date of submission of Bids, the Bank, for any reason, may modify the Bidding Document, by amendment.
- 4.9.2 The amendment will be posted on Banks website www.sidbi.in and CPPP (eprocure.gov.in) only.
- 4.9.3 All Bidders must ensure that such clarifications have been considered by them before submitting the bid. Bank will not have any responsibility in case some omission is done by any bidder.
- 4.9.4 In order to allow prospective Bidders reasonable time in which to take the amendment into account in preparing their Bids, the Bank, at its discretion, may extend the deadline for the submission of Bids.

4.10 LANGUAGE OF BID:

The bid prepared by the Bidders as well as all correspondence and documents relating to the Bid exchanged by the Bidder and the Bank and supporting documents and printed literature shall be written in English.

4.11 SUBMISSION OF BIDS

- 4.11.1 The bids must be received by the Bank at the specified address not later than date and time mentioned in 'Critical Information', given in the beginning of this document.
- 4.11.2 In the event of the specified date for the submission of bids, being declared a holiday for the Bank, the bids will be received up to the appointed time on the next working day.
- 4.11.3 The Bank may, at its discretion, extend the deadline for submission of Bids by amending the Bid Documents, in which case, all rights and obligations of the Bank and Bidders previously subject to the deadline will thereafter be subject to the deadline as extended.

4.12 MODIFICATION AND / OR WITHDRAWAL OF BIDS:

- 4.12.1 The Bidder may modify or withdraw its bid after the bid's submission, provided that written notice of the modification including substitution or withdrawal of the bids is received by the Bank, prior to the deadline prescribed for submission of bids.
- 4.12.2 The Bid modification or withdrawal notice must be on bidder's letterhead, signed and sealed. A withdrawal notice may also be sent by email and followed by a signed confirmation copy received by the Bank not later than the deadline for submission of bids.
- 4.12.3 No bid may be modified or withdrawn after the deadline for submission of bids.
- 4.12.4 Bank has the right to reject any or all bids received without assigning any reason whatsoever. Bank shall not be responsible for non-receipt / non-delivery of the bid documents due to any reason whatsoever.

4.13 REQUEST FOR INFORMATION:

- 4.13.1 Recipients are required to direct all communications for any clarification related to this RfP, to the nominated officials and must communicate the same in writing by the time mentioned in 'Critical Information' section before. No query / clarification would be entertained over phone.
- 4.13.2 All queries relating to the RfP, technical or otherwise, must be in writing only and may be sent via email. The Bank will try to reply, without any obligation in respect thereof, every reasonable query raised by the Recipients in the manner specified.
- 4.13.3 However, the Bank will not answer any communication reaching the bank later than the time stipulated for the purpose.
- 4.13.4 The Bank may in its absolute discretion seek, but under no obligation to seek, additional information or material from any Respondents after the RfP closes and all such information and material provided must be taken to form part of that Respondent's response.

4.14 DISQUALIFICATION

Any form of canvassing/ lobbying/ influence/ query regarding short listing, status etc. will result in a disqualification.

4.15 PRE-CONTRACT INTEGRITY PACT (IP)

IP is an agreement between the prospective vendors / bidders and the buyer committing the persons / officials of both the parties not to exercise any corrupt influence on any aspect of the contract.

The bidder has to submit signed Pre-Contract Integrity Pact (IP) as per the format at **Annexure-XV** on the letter head of the Company. However, the successful bidder has to submit the same in non-judicial stamp paper of requisite value (to be borne by the bidder) applicable at the place of its first execution after the issue of Letter of Intent for awarding of contract.

4.16 IMPORTANT

Bidders must take the following points into consideration during preparation and submission of bids.

- 4.16.1 Relevant documents must be submitted as proof wherever necessary. All the pages must be sealed and signed by the authorized signatory of the respondent.

- 4.16.2 **All documents must be numbered and proper index should be put up. The documents must be scanned in sequence, haphazard placement of documents is liable to be rejected.**
- 4.16.3 If the bids do not contain all the information required or is incomplete, the proposal is liable to be rejected.
- 4.16.4 Responses should be concise and to the point. Submission of irrelevant documents must be avoided.
- 4.16.5 If the bids do not contain all the information required or is incomplete, the proposal is liable to be rejected. However, SIDBI at its sole discretion, has right to call for clarification from any applicant, if required.
- 4.16.6 The RfP is floated on SIDBI website (www.sidbi.in) and Central Public Procurement Portal (CPPP) at www.eprocure.gov.in. SIDBI reserves the right to change the dates mentioned above. Changes and clarification, if any, related to RfP will be posted on SIDBI website and CPPP. Bidders must have close watch on SIDBI website and CPPP during the intervening period before submitting response to RfP.
- 4.16.7 The bidder can submit the bid for all or one more than one “pockets” as specified in critical information above.
- 4.16.8 The technical evaluation shall be done on basis of only one technical proposal submitted for all or any of the pockets. However, combined techno-commercial score shall be arrived at pocket wise. The allotment of pockets shall be carried out on the basis of techno-commercial score for each individual pocket, Accordingly, one or more agency may be appointed in different pockets. As techno-commercial scores shall be allocated in sequential manner No bidder shall be allocated more than two pockets.
- 4.16.9 **The techno-commercial analysis shall take place and if one agency emerge preferred bidder in more than two pockets, the agency shall get a chance to pick any two pockets and next pockets shall be allotted to 2nd preferred bidder. This shall continue until all the pockets are assigned. In any case, not more than two pockets shall be assigned to a single agency. SIDBI have all rights to assign any pocket to any agency emerges as preferred bidder at its discretion.**
- 4.16.10 Each bidder shall submit only one proposal.

4.17 DETAILS OF BIDS TO BE SUBMITTED

- 4.17.1 Bidders are required to submit their responses in three envelopes, with contents of each as under:

Envelope No.	Bid Contents
I	<p>Minimum Eligibility Bid</p> <p>Minimum Eligibility Bid</p> <ul style="list-style-type: none"> ○ Eligibility Bid Index ○ Bid Covering letter as per format prescribed in Annexure-I ○ General Information about Bidder as prescribed in Annexure-II ○ Response to Minimum Eligibility Bid as per format prescribed in Annexure-III ○ Proof of the payment towards Tender Fee (Receipt Generated along-with Ref. No.) towards bid price

	<ul style="list-style-type: none"> ○ Proof of the payment towards Earnest Money Deposit (EMD) (Receipt Generated along-with Ref. No.) <p>OR</p> <p>Bank guarantee (Annexure XIII) towards EMD as per format prescribed in Annexure-XIV.</p> <p>If exempted, the supporting document for the same to claim and take the benefit of the exemption.</p> <ul style="list-style-type: none"> ○ Declaration of not related to SIDBI annexure IV. ○ Declaration regarding clean track record, as per format prescribed in Annexure-V. ○ Letter of competence as per format prescribed in Annexure-VI. ○ Bank Mandate Form as per format prescribed in Annexure-VII. ○ Pre Contract Integrity Pact as per format prescribed in Annexure-VIII ○ Format power of attorney (POA) Annexure XVI
	<p>Technical Bid</p> <ul style="list-style-type: none"> i. Technical Bid Index ii. Response to Technical Bid as per Application in Annexure-III iii. Letter of competence as per format prescribed in Annexure-VI iv. Letter of Conformity as per format prescribed in Annexure-IX v. Non-disclosure Agreement as per Annexure-X vi. Statement of deviations as per Annexure-XI
III	<p>Commercial Bid</p> <ul style="list-style-type: none"> i. Commercial Bid Covering Letter as per format prescribed in Annexure-XII ii. Response to Commercial Bid as per format prescribed in Annexure-XVII

- 4.17.2 The Bid shall be typed or written in indelible ink, all pages numbered and signed by Authorized signatory of the response.
- 4.17.3 Relevant documents must be submitted as proof wherever necessary. Technical specification sheets of all the items to be submitted.
- 4.17.4 The bids to be submitted in e format as mentioned at sl no 3.5 (a). Non- password protected bids or sharing of password before being called for will make bid liable for rejection.
- 4.17.5 The RfP is hosted on SIDBI website www.sidbi.in and also on Central Public Procurement Portal (CPPP) at www.eprocure.gov.in SIDBI reserves the right to change the dates mentioned above. Changes and clarification, if any, related to RfP will be posted on Bank web site and CPPP. Bidders must have close watch on the website and CPPP during the intervening period before submitting response to RfP.

5. BACKGROUND

- Small Industries Development Bank of India (SIDBI), set up on April 2, 1990 under an Act of Indian Parliament, acts as the Principal Financial Institution for the Promotion, Financing and Development of the Micro, Small and Medium Enterprise (MSME) sector and for Co-ordination of the functions of the institutions engaged in similar activities. Financial support to MSMEs is provided by way of (a) indirect finance / refinance to eligible Primary Lending Institutions (PLIs), for onward lending to MSMEs and (b) direct assistance in the niche areas with thrust on MSEs.

- SIDBI has reoriented its focus on MSEs by adopting SIDBI Vision 2.0. It is a strategic initiative by SIDBI aimed at accelerating effort to serve MSME domain by transforming its current role to that of an All India Financial Institution that can create an integrated credit and development support ecosystem for Indian MSEs, thus promoting their inclusive growth. The initiative is dedicated to meet both, credit and non-credit needs of MSEs, enabling them to be globally competitive.
- The MSME sector in India is engine of economic growth as it contributes significantly towards industrial production (45%), exports (40%) and employment generation (110 million) – the second largest source of employment after agriculture. .
- Cluster-specific approach tends to offer agglomeration benefits (in terms of lower transaction and monitoring costs through reduction in costs of data, standardization and reduction of documentation; identification of common risk elements and their mitigation; better inter-firm comparison; improved outreach; better monitoring and design of cluster specific products/interventions/ engagements). The non-credit needs (access to strategic services including training, capacity building, skill improvement, marketing inputs, product development, productivity enhancement, design, common facilities, credible information access etc.) have been identified from time to time by several working groups including the 11 sub-groups of Ministry of MSME which dealt with both credit and non-credit needs of MSMEs.
- UK Sinha Committee on MSME also highlighted the cluster approach to be used for strategic engagement with enterprise ecosystem It stated that presently, MSME clusters are inadequately equipped in areas such as innovation centres, testing facility, etc. The Committee recommends that these clusters should collaborate with companies having innovation infrastructure, R&D institutions and universities that specialize in a specific industry or knowledge area.
 - .1. It has been observed that the balanced approach towards both credit and non- credit needs strengthens the eco system for MSMEs.

5.1 SCOPE OF WORK

- .5.1.1. The objective of this study is to carry out fresh diagnostic studies which consists of detailed analysis of the identified 30 clusters (List attached at **Annexure A.**) with financial & non-financial gaps and measures to be taken to bridge the gaps to achieve the desired situation.
- .5.1.2. The agency will carry out fresh diagnostic studies which consist of financial & non-financial services gaps .
 - .5.1.2.1. may make use of data / DSRs already available at different sources / public domain.
 - .5.1.2.2. will look into segmental, sectoral analysis of the existing enterprises and potential for new enterprises. It shall cover the existing institutional mechanism in order to improve the supply of financial & non-financial related services in these clusters.
 - .5.1.2.3. will suggest the actionable points considering present scenario/ emerging situation along with timelines for all above including role identification for different stakeholders.
 - .5.1.2.4. shall cover the basic details of the cluster, which includes history vital statistics of the cluster (how many firms, turnover, employment etc.). status of previous interventions if any, status of cluster linkages (network of the cluster firms and stakeholders), Baseline may be drawn from previous interventions

- .5.1.2.5. Analysis of Business Operations (AOBO) of cluster, SWOT analysis in brief, vision for the cluster (both short term and long term), suggestions for present/future interventions deemed necessary.
- .5.1.3. For identification of non-financial gap, agency needs to work in the following areas:
 - .5.1.3.1. Business Services – Business Services which are strategic in nature and are necessary to improve the competitiveness of the cluster. The agency will have to update these kinds of services which are required in the cluster, their present status, the desired situation etc., in the cluster. The agency will update the entire ecosystem of the cluster and also mark the cruciality of these service providers in the entire ecosystem.
 - .5.1.3.2. Find out scope for these services, as also find out the services which these MSMEs require but don't have its availability at all in the cluster. The agency may use various tools viz., "Who does who pays matrix", "Participatory Appraisal of Competitive Advantage" (PACA), "Value Chain Analysis" (VCA) etc., for the mapping.
 - .5.1.3.3. Assess the need for infrastructure – issues which continue to affect the growth of the cluster viz. spatial issues – basic cluster infrastructure (roads, industrial space/galas), common facility centre, SPVs, social capital, marketing infrastructure, power, water, effluent treatment infrastructure, cloud / IT services infrastructure, govt. linkages including PPP, environmental degradation related issues, renewable energy sources, technical requirements (specific) etc. Identification of gaps in the above, possible actionable points to address them including the rough cost estimates and suitable partner(s).
 - .5.1.3.4. Assess the need for support services (transactional services)– support service areas which are necessary for the improvement in the cluster. Present stage of these services (status of services, no of service providers, % of MSMEs availing their services, network of these service providers etc.). The agency will find out the need and scope for these services.
 - .5.1.3.5. Assess the existing schemes of various State Govt., Central Govt, MSME-DI (MSME Development Institutions) and other MSME related schemes and will try to develop the synergy between them.
- .5.1.4. Assessment of financial gap, agency need to work in the following areas:
 - .5.1.4.1. Assessment of credit gap: Document the demand and supply side issues in current credit disbursements
 - .5.1.4.2. Services provided by various Banks / FIs / NBFCs etc. (e.g., Adequacy of financial services with emphasis on credit, timely availability, rate of interest/other costs, application and approval process, seasonality etc.)
 - .5.1.4.3. Key bottleneck (e.g., procedural, awareness, delay in decision making etc.) for access to finance from various Banks / FIs / NBFCs
 - .5.1.4.4. Financial value chain analysis to recognize which activities need to be a focus area for banks to support them through finance and specific financial products.
 - .5.1.4.5. Assess the institutional mechanism for the credit delivery in the cluster and also suggest the measures to improve the same.
 - .5.1.4.6. Estimate the credit gap in the selected cluster by estimating the current demand & supply in the cluster and the impact of the same on industrial growth and competitiveness of the firms/cluster.
 - .5.1.4.7. Document the suggestions in terms of
 - .5.1.4.8. Refinement required in existing products and procedures to meet the genuine credit needs of the enterprises in the cluster
 - .5.1.4.9. Recommendations to enhance the flow of credit to the cluster Suggest on need of cluster specific financial products

.5.1.4.10. Lay down vision of the cluster. Chart out an action plan for implementation of the recommendation. Identify critical partner(s), preferably localized/from region , with whom shortlisted themes or pain points can be taken forward.

.5.1.5.Suggestive Methodology

The proposed assignment will be based upon primary and secondary research. The agency will use the MSME census data, information available with respective State Level Bankers Committees (SLBCs), RBI reports, District Level Credit Plan, research papers / reports available in public domain, publications / data from industry associations, reports of previous/current interventions carried out by various agencies etc. apart from interaction with the Industry Associations, Business Service providers, DICs, MSME-DIs, few individual MSMEs, SIDCs, Bankers, NBFCs, FIs etc., or organize FGDs of stakeholders for the exercise.

.5.1.6. Sample Report format

Executive summary	
Name of Cluster	
Geographical location (state , district)	
Specific product/service	
Number of MSEs active along with composition Micro/small/medium Female owned/ reserved	
Export orientation	
Cluster in growth/stagnant/dying stage	
Cluster History	
vital statistics of the cluster (how many firms, turnover, employment etc.	
status of previous interventions if any,	
Availability of government Common Facility centers etc and there impact	
status of cluster linkages (network of the cluster firms and stakeholders),	
analysis of Business Operations (AOBO) of cluster	
SWOT analysis in brief, vision for the cluster (both short term and long term),	
current Pressure Points and actionable points on these pressure points.	
Identification of Non-Financial Gaps as per Scope of work sl no .5.1.7	
Suggestions for Non-financial Gaps	
Identification of Financial Gaps as per Scope of work sl no.5.1.8	
Suggestions for financial Gaps	
Plot the critical gaps on value chain map including the immediate, medium, long term engagement/intervention areas	
Suggestive Intervention plan with brief cost estimates and suggestions on probable action agencies/partners	

Reference of primary cluster research	
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- The above is just indicative in nature.

6. EVALUATION METHODOLOGY & AWARD OF CONTRACT:

6.1 EVALUATION METHODOLOGY:

OBJECTIVE:

The objective of this evaluation methodology is to facilitate the selection of agency for updation of the studies for ensuring technically superior and professional services at optimal cost.

6.2 EVALUATION PROCESS:

- 6.2.1 The Bank has adopted a three (3) bid processes in which the Bidder has to submit following bids in separate envelopes at the time of submission of bids as stipulated in this document.
- Minimum Eligibility Criteria
 - Technical Bid (includes presentation)
 - Commercial Bid
- 6.2.2 The Bank shall evaluate first the 'Eligibility Criteria' bid and based on its evaluation, 'Technical Bids' shall be evaluated at the second stage. 'Commercial bids' shall be opened for only the shortlisted bidders out of technical evaluation. The final selection will be done based on Quality cum Cost Based System (QCBS) wherein Relative Technical Bid Score will get a weightage of 70% and Relative Commercial Bid Score will get a weightage of 30%.
- 6.2.3 The evaluation by the Bank will be undertaken by a Committee of officials or/and representatives formed by the Bank and its decision will be final.
- 6.2.4 Normalization - SIDBI reserves the right to go for normalization process after technical evaluation and accordingly may request all the bidders to submit revised bid (technical or commercial or both) to avoid any possible ambiguity in evaluation process or make apple-to-apple comparison or to bring further transparency in the evaluation process.

6.3 OPENING OF MINIMUM ELIGIBILITY AND TECHNICAL BIDS

- 6.3.1 Bids, except commercial bids, received within stipulated time, shall be opened as per schedule given in the 'Critical information' sheet.
- 6.3.2 On the scheduled date and time, bids will be opened by the Bank Committee in virtual presence of Bidder representatives. It is the responsibility of the bidder's representative to be present at the time, on the date and at the virtual platform specified in the tender document. The bidders' representatives who shall attend the virtual meeting shall be considered as evidence of their attendance and opening of bids in their presence.
- 6.3.3 If any of the bidders or all bidders who have submitted the tender and are not present during the specified date and time of opening, Bank at its discretion will proceed further with opening of the technical bids in their absence.
- 6.3.4 The Bidder name, presence or absence of requisite EMD /Bid Security and such other details as the Bank, at its discretion, may consider appropriate will be announced at the time of bid opening.
- 6.3.5 Bids that are not opened at Bid opening shall not be considered for further evaluation, irrespective of the circumstances. Withdrawn bids will be discarded.

6.4 PRELIMINARY EXAMINATION:

- 6.4.1 The Bank will examine the Bids to determine whether they are complete, the documents have been properly signed , supporting papers/ documents attached and the bids are generally in order.
- 6.4.2 The Bank may, at its sole discretion, waive any minor infirmity, nonconformity or irregularity in a Bid which does not constitute a material deviation, provided such a waiver does not prejudice or affect the relative ranking of any Bidder.
- 6.4.3 Prior to the detailed evaluation, the Bank will determine the substantial responsiveness of each Bid to the Bidding document. For the purposes of these Clauses, a substantially responsive Bid is one, which conforms to all the terms and conditions of the Bidding Document without material deviations. Deviations from or objections or reservations to critical provisions, such as those concerning Bid security / EMD, performance security, qualification criteria, insurance, Force Majeure etc. will be deemed to be a material deviation. The Bank's determination of a Bid's responsiveness is to be based on the contents of the Bid itself, without recourse to extrinsic evidence.
- 6.4.4 If a Bid is not substantially responsive, it will be rejected by the Bank and may not subsequently be made responsive by the Bidder by correction of the nonconformity.
- 6.4.5 The Bidder is expected to examine all instructions, forms, terms and specification in the Bidding Document. Failure to furnish all information required by the Bidding Document or to submit a Bid not substantially responsive to the Bidding Document in every respect will be at the Bidder's risk and may result in the rejection of its Bid.

7. EVALUATION OF ELIGIBILITY CRITERIA:

- 7.1.1. Bids submitted by all the bidders would be evaluated for eligibility as mentioned in the 'Eligibility Criteria' section. Bids not complying with any of the eligibility criteria are liable to be rejected and will not be considered for further evaluation.
- 7.1.2. Successful bids out of this stage would be considered for technical evaluation.
- 7.1.3. Bidders must submit the proof of all the credentials as required for evaluation of eligibility criteria. Claims of the bidders without verifiable facts won't be considered as credentials towards satisfying eligibility criteria.

7.2. EVALUATION OF TECHNICAL BIDS

- 7.2.1. The technical bids will be evaluated for determining the continued eligibility of the Bidder for Project and compliance of the bids with the necessary technical requirements and scope of work of this tender.
- 7.2.2. SIDBI may seek specific clarifications from any or all the Bidder(s) at this stage. All the clarifications received within the stipulated time shall be considered for evaluation. In case satisfactory clarifications are not received from the bidders within the stipulated time, the respective technical parameters would be treated as non-compliant and decision to qualify the bidder shall be accordingly taken by SIDBI.
- 7.2.3. Technical bids would be evaluated on the parameters and presentation as below.

**TECHNICAL BIDS EVALUATION CRITERIA –
AGENCY FOR UPDATION OF STUDIES IN SELECTED MSME CLUSTERS**

Each agency having credentials as stated in the scope of work will get marks as given below in the table:

Sr. No.	Details required/Parameters on which Bidder will be scored for agency for updation of studies in selected MSME clusters	Maximum Marks
A	Bidder Credentials	

1	Presence of agency directly or through tie-ups/partnership across the selected clusters mentioned in scope of work.	
	(a) Upto 5 clusters only	0
	(a) More than 5 clusters upto 10 clusters	5
	(b) More than 10 clusters upto 15 clusters	10
	(c) More than 15 Clusters	15
2	Experience of the agency in implementing developmental projects directly or through partners etc.	
	(a) Upto 2 work orders	0
	(a) 3 work orders	5
	(b) 3 to 5 work orders	10
	(c) More than 5 work orders	15
3	No. of full time staff in India on rolls / long term contract (more than 2 years)	
	(a) Less than 5 staff	2
	(b) 5-10 staff	4
	(c) 11-20 staff	6
	(d) More than 20 staff	10
4	Understanding note/write up on scope of work (not more than 1000 words)	30
	Total	70
B	Presentation on methodology proposed for the study	
	(a) Presentation on capability statement	5
	(b) Strategy for carrying out updation of diagnostic studies (including understanding of requirement and scope of work, implementation methodology and proposed team)	25
	Total	30

- The Bank at its discretion may reject the proposal of the agency, without giving any reason whatsoever, if in the Bank's opinion the agencies could not present or demonstrate the proposed assignment.
- During evaluation of the Tenders, the Bank, at its discretion, may ask the Bidder for clarification in respect of its tender. The request for clarification and the response shall be in writing, and no change in the substance of the tender shall be sought, offered or permitted.
- The Bank's reserves the right to accept or reject any tender in whole or in parts without assigning any reason thereof. The decision of the Bank shall be final and binding on all the bidders to this document and bank will not entertain any correspondence in this regard.
- Documentary evidence must be submitted for each criterion and undertaking, or declaration made by the agency must be on the company letter head and is to be signed by an authorized signatory.
- Completion Letter / Reference Letter from relevant Senior Executive of the agency to be attached for each engagement reference mentioned.
- If required bank or its representative can visit the agency and or its centers during evaluation process.

7.2.4. The technical bid will be analyzed and evaluated, based on which the Relative Technical Score (RS_{Tech}) shall be assigned to each bid on the basis of parameters mentioned above.

7.2.5. Relative Technical Score (RS_{Tech}) for each agency will be calculated as follows based on above parameters:

$$RS_{Tech} = T / T_{High} * 100$$

Where,

RS_{Tech}	=	Relative score obtained by the bidder
T	=	Technical score obtained by bidder
T_{High}	=	Highest Technical score secured among the Bidders

7.2.6. Technical Bids receiving a RS_{Tech} greater than or equal to a score of 70 (cut-off marks) will be eligible for consideration in the subsequent round of commercial evaluation.

7.2.7. If less than 3 bidders qualify as per above criteria ($RS_{Tech} \geq 70$), SIDBI reserves the right to short list maximum top 3 bidders subject to $RS_{Tech} \geq 60$.

7.3. COMMERCIAL BIDS:

7.3.1. The Bidder is required to quote in Indian Rupees ('INR'). Bids in currencies other than INR may not be considered.

7.3.2. While any increase in the rates of applicable taxes or impact of new taxes subsequent to the submission of commercial bid shall be borne by SIDBI, any subsequent decrease in the rates of applicable taxes or impact of new taxes shall be passed on to SIDBI in its favour. This will remain applicable throughout the contract period.

7.3.3. The Commercial Bid should be submitted strictly in the format prescribed in the RfP. Consideration of commercial bids, not submitted as per requisite format, will be at the discretion of the bank and are liable to be rejected

7.4. EVALUATION OF COMMERCIAL BIDS

7.4.1. In this phase, the Commercial Bids of the Bidders, who are found technically qualified in previous phase, will be taken for commercial evaluation.

7.4.2. The date for opening of commercial bids will be separately advised.

7.4.3. Relative Technical Score (RS_{Tech}) of the technically qualified bids would be announced before the representatives of the bidders and the commercial bids of those bidders would be opened for commercial evaluation.

7.4.4. The commercial bid evaluation will be done on the basis of quote for the assignment which shall comprise of-

7.4.5. The agency with the lowest commercial bid will be selected for further discussion for finalizing contract subject to satisfying all the terms and conditions defined in this RfP document.

7.4.6. Relative Commercial Score (RS_{Com}) for each bidder will be calculated as follows:

$$RS_{Com} = C_{Low} / C * 100$$

Where:

RS_{Com}	=	Relative score for Commercial Bid of the bidder
C	=	Commercial bid value of the bidder under consideration
C_{Low}	=	Lowest commercial bid value out of all the eligible commercial bids obtained.

7.5. FINAL SELECTION OF THE ELIGIBLE BIDDER

7.5.1. Total Relative Score (RS) obtained by each eligible bidder will be calculated as follows:

$$RS = RSTech \times 0.70 + RSCom \times 0.30$$

7.5.2. The eligible bidder will be selected based on maximum Relative Score (RS) obtained.

7.5.3. The bidder with the highest Relative Score (RS) will be selected for further discussion.

7.5.4. In case of a tie after the commercial evaluation stage, the Bank's decision will be final and will be based on marks scored in the technical evaluation only.

7.5.5. The Bidders shall submit their offers strictly in accordance with the terms & condition of the Tender document.

8. TERMS AND CONDITIONS

8.1. General

8.1.1. The Bidders are expected to examine all instructions, forms, terms and specifications in the bidding documents. Failure to furnish all information required by the bidding documents may result in the rejection of its bid and will be at the bidder's own risk.

8.1.2. Information provided in this RfP is organized in several sections to bring clarity and help the reader to understand quickly. However, Bidder must take into consideration each and every line of this RfP document as a whole while responding. Bidder must get the doubts, if any, clarified by SIDBI before submitting the responses. The bids submitted should be completed in all respect meeting all deliverables under the project. It will be sole responsibility of the selected bidder to deliver each and everything as per the scope of the project during the contracted period. SIDBI shall not be responsible in case of bidder's failure to notice any information, any requirement is underestimated, not understood or any requirement is not interpreted in right direction during preparation/submitting the response.

8.1.3. Unless expressly overridden by the specific agreement to be entered into between the Bank and the successful Bidder, the RfP shall be the governing document for arrangement between the Bank and the Bidders.

8.2. Definitions:

In this Contract, the following terms shall be interpreted as indicated:

8.2.1. "The Bank" means Small Industries Development Bank of India (SIDBI).

8.2.2. 'Bidder' means the respondent to the RfP document.

8.2.3. 'Successful Bidder' refers to the bidder who gets selected by the bank after completion of evaluation process.

8.2.4. 'RFP' or 'RfP' or 'Tender' means the Request For Proposal document.

8.2.5. 'Bid' may be interchangeably referred to as 'Offer'.

8.2.6. 'BFSI' implies Scheduled commercial banks in public or private sector / All India FIs / Insurance Companies will be considered under BFSI.

8.2.7. "The Contract" means the agreement entered into between the Bank, represented by its Head Office / Regional Offices / other offices of the Bank and the Vendor/Bidder/Supplier, as recorded in the Contract Form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein;

8.2.8. "Agency", "Firm", "Company", "Bidder" "vendor" means any entity or person or associations of person (constitution of association of person may be in the form of any legal entity like partnership, public / private limited company, LLP, HUF,

etc.,) who have been identified to submit their proposal for providing services under this Contract.

8.3. Representative:

The agency will assign a person, who will provide the interface facility and has the responsibility for managing the complete service delivery on Public Relations. He/She will be single point of contact on behalf of the agency.

8.4. Liquidity damages for Default in Services:

In case of deficiency of services up to the satisfaction of SIDBI, liquidated damages subject to the maximum 8% of the contract value could be levied on the agency. The quantum of liquidated damages will be decided by a committee of officers of SIDBI whose decision shall be final and binding. However, the agency would be given an opportunity to make representation to the committee and clarify its position with regard to the deficiency and/or non-performance.

8.5. Tenure:

8.5.1. The tenure of the contract with the Agencies, so appointed, would carryout the study within 2 months from date of appointment under this RfP. However, this would be subject to satisfactory performance of each agency periodically which would be solely adjudge by the Bank. In case the performance of any Agency/ies is/are deemed unsatisfactory, their contract will be terminated as per the provisions of the "Termination of Contract" clause mentioned in the RFP.

8.5.2. In case the need arises, SIDBI may, at its sole discretion, extend the contract for a further period of a maximum of 6 months on **NO COST BASIS** the terms and conditions of the contract entered into.

8.6. Price :

8.6.1. The fee/charges of the Highest Relative Score (RS) decided through the process described earlier would remain valid for the entire contract period.

8.6.2. The accepted price (fee/charges) shall otherwise remain firm during the entire tenure of assignment including authorized period of extension of time and shall not vary on account of any increase/decrease of cost of material/labours or any other reasons whatsoever.

a. Use of Contract Documents and Information:

8.6.3. The Agency shall not, without the Bank's prior written consent, disclose the Contract, or any provision thereof, or any specification, plan, drawing, pattern, sample or information furnished by or on behalf of the Bank in connection therewith, to any person other than a person employed by the Supplier in the performance of the Contract. Disclosure to any such employed person shall be made in confidence and shall extend only as far as may be necessary for purposes of such performance.

8.6.4. The Agency will treat as confidential all the data and information about the Bank, obtained in the execution of his responsibilities, in strict confidence and will not reveal such information to any other party without the prior written approval of the Bank.

8.7. Subcontracts:

The agency shall not sub-contract the services agreed to be rendered by the agency under this contract, except (i) if the existence of their office is not in the identified location;

agreed by SIDBI and (ii) for services that are generally procured from outside agency in performing the activities envisaged under this contract.

8.8. Compliance in obtaining approvals/ permissions/ licenses:

The Bidder shall promptly and timely obtain all such consents, permissions, approvals, licenses, etc., as may be necessary or required for any of the purposes of this project or for the conduct of their own business under any applicable Law, Government Regulation/Guidelines and shall keep the same valid and in force during the term of the project, and in the event of any failure or omission to do so, shall indemnify, keep indemnified, hold harmless, defend, protect and fully compensate the Bank and its employees/ officers/ staff/ personnel/ representatives/agents from and against all claims or demands of liability and all consequences that may occur or arise for any default or failure on its part to conform or comply with the above and all other statutory obligations arising there from and the Bank will give notice of any such claim or demand of liability within reasonable time to the bidder.

8.9. Termination of Contract:

- 8.9.1. In the event of non-performance of the bidder as decided by the Bank or any disputes or differences arising between the Parties hereto on any matter / provision set out in this RfP and subsequent Service Agreement for the selected Agency, the Parties shall try to resolve the matter amicably inter se. In case if the matter is not settled amicably and further Bank is not satisfied with the services of the agency or the agency failing to perform the services agreed to under this RfP, Bank shall issue a written notice of 15 days to the agency informing the agency of its dissatisfaction or the non-performance of the agency and if the error is not rectified within the given time, Bank shall not be liable to pay the agency for the same for the period of non-performance by agency and the decision of Bank in this regards shall be final and binding on the Service provider/agency.
- 8.9.2. In the event the agency does not comply with its obligations, on the termination of the notice period, Bank shall be at liberty to terminate the Agreement, without further notice, and shall additionally have the right to claim any further rights available under the law, including without limitation, the right to damages.
- 8.9.3. Upon termination of the Agreement, the Agency would promptly hand over to SIDBI all Deliverable Items, including work-in-progress, on "as is where is" condition subject to the mutual settlement of all money due and payable to them being paid. During the period of notification of termination, the Agency shall complete pending assignments and Bank shall agree to settle the dues in respect of assignments after completion thereof by the Agency, except if specifically instructed by the Bank to act otherwise.
- 8.9.4. The Bank would also have the right to terminate such Service Agreement with three months notice or such period as agreed without assigning any reason.
- 8.9.5. The Bank reserves the right to cancel the contract, without any prior notice, in the event of happening one or more of the following Conditions:
 - ❖ Failure of the successful bidder to accept the contract
 - ❖ Delay in services
 - ❖ Serious problems in quality of services
 - ❖ Services of the Agency not being considered satisfactory by the Bank

8.10. Force majeure:

- 8.10.1. If the performance as specified in this order is prevented, restricted, delayed or interfered by reason of Fire, explosion, cyclone, floods, War, Covid19 pandemic lockdown, revolution, acts of public enemies, blockage or embargo, Any law, order, proclamation, ordinance, demand or requirements of any Government or authority or representative of any such Government including restrict trade practices or regulations, Strikes, shutdowns or labour disputes which are not instigated for the purpose of avoiding obligations herein, or Any other circumstances beyond the control of the party affected, then notwithstanding anything here before contained, the party affected shall be excused from its performance to the extent such performance relates to prevention, restriction, delay or interference and provided the party so affected uses its best efforts to remove such cause of non-performance and when removed the party shall continue performance with utmost dispatch.
- 8.10.2. If a Force Majeure situation arises, the Bidder shall promptly notify the Bank in writing of such condition, the cause thereof and the change that is necessitated due to the conditions. Until and unless otherwise directed by the Bank in writing, the Bidder shall continue to perform its obligations under the Contract as far as is reasonably practical and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event.
- 8.10.3. If either Party is unable to perform its obligation under this contract due to the occurrence of an event beyond its control (such as acts of God, war like situations, Riots, Bandhs, Government actions, Earthquakes, Cyclones, Typhoons, and other natural calamities etc.) that the party will not be deemed to have defaulted under this Contract. Each party would use all reasonable efforts to enable performance under this Contract to continue.

8.11. Resolution of Disputes:

- 8.11.1. It will be the endeavor of the Parties to resolve amicably any disputes or differences that may arise between the Bank and the Bidder from misconstruing the meaning and operation of the RFP and the breach that may result.
- 8.11.2. In case of Dispute or difference arising between the Bank and a bidder relating to any matter arising out of or connected with this agreement, such disputes or difference shall be settled in accordance with the Arbitration and Conciliation Act, 1996. The Arbitrators shall be chosen by mutual discussion between the Bank and the bidder OR in case of disagreement each party may appoint an arbitrator and such arbitrators may appoint an Umpire before entering on the reference. The decision of the Umpire shall be final.
- 8.11.3. The Bidder shall continue work under the Contract during the arbitration proceedings unless otherwise directed in writing by the Bank or unless the matter is such that the work cannot possibly be continued until the decision of the Arbitrator or the umpire, as the case may be, is obtained.
- 8.11.4. Arbitration proceedings shall be held at **New Delhi** India, and the language of the arbitration proceedings and that of all documents and communications between the parties shall be English;
- 8.11.5. Not with standing anything contained above, in case of dispute, claim & legal action arising out of the contract, the parties shall be subject to the jurisdiction of courts at New Delhi India only.
- 8.11.6. Any notice given by one party to the other pursuant to this Contract shall be sent to the other party in writing or by fax and confirmed in writing to the other party's specified address. The same has to be acknowledged by the receiver in writing.

- 8.11.7. A notice shall be effective when delivered or on the notice's effective date, whichever is later.
- 8.11.8. Good Faith: The Parties undertake to act in good faith with respect to each other's rights under the contract that may be entered into pursuant to this RfP and to adopt all reasonable measures to ensure the realization of the objectives / scope of this Contract.

8.12. No Commitment to Accept Lowest or Any Offer

- 8.12.1. The Bank reserves its right to reject any or all the offers without assigning any reason thereof whatsoever.
- 8.12.2. The Bank will not be obliged to meet and have discussions with any bidder and/ or to entertain any representations in this regard.
- 8.12.3. The bids received and accepted will be evaluated by the Bank to ascertain the best and lowest bid in the interest of the Bank. However, the Bank does not bind itself to accept the lowest or any Bid and reserves the right to reject any or all bids at any point of time prior to the order without assigning any reasons whatsoever. The bank reserves the right to re-tender.

8.13. Taxes and Duties:

- 8.13.1. The bidder shall be entirely responsible for all applicable taxes, duties, levies, charges, license fees, road permits, etc. in connection with delivery of products/services at site including incidental services and commissioning.
- 8.13.2. The bidder must also ensure that all applicable laws framed by the Central Government, State Government and Local Bodies and all laws pertaining to contract employees / labour laws are complied with while providing caretaker services. The selected bidder may have to execute an indemnity bond in favour of the Bank in this regard.
- 8.13.3. Providing clarifications / particulars / documents etc. to the appropriate tax authorities for assessment of tax, compliance with labour and other laws, etc. will be the responsibility of the bidder at his cost.
- 8.13.4. Tax deduction at Source - Wherever the laws and regulations require deduction of such taxes at the source of payment, the Bank shall effect such deductions from the payment due to the Bidder. The remittance of amounts so deducted and issuance of certificate for such deductions shall be made by the Bank as per the laws and regulations in force. Nothing in the Contract shall relieve the Bidder from his responsibility to pay any tax that may be levied in India on income and profits made by the Bidder in respect of the contract that may be entered into pursuant to this RfP.

8.14. Statutory authority obligation, notices, fees and charges:

- 8.14.1. The agency shall comply with and give all notices required by any Act, any instrument, rule, or order made under any Act, or any regulation with regard to the assignment/services contemplated under this RfP.
- 8.14.2. The Agency shall at all time during the currency of the RfP/contract to be entered into pursuant to this RfP, comply with all applicable laws as they relate to its performance under the agreement. The agreement shall be governed, interpreted by and construed in accordance with the law of India.

8.15. Right in intellectual Property and materials:

- 8.15.1. All the rights relating to the Trade Marks and Copy Rights in respect of publicity work generated by the Agency on behalf of SIDBI and paid for by SIDBI shall vest with SIDBI. Provided, that SIDBI would reimburse the Agency for any

sum of money paid for assignment/licensing of the copy right as and by way of fee, charges, or otherwise as provide by the guidelines, regulation, rules, or policy of the professional body or association, with prior approval from SIDBI.

8.15.2. All concepts, communications, etc. created and conceived by the Agency on behalf of SIDBI under the services contemplated under this RfP, and involving name of SIDBI, shall not form part of any award or competition or promotion unless prior written consent of SIDBI has been obtained thereof.

8.16. Confidentiality:

Information provide under this RfP and Subsequent service agreement is confidential and neither party shall at any time either during the association or at any time thereafter divulge either directly or indirectly to any person(s), firm or company, business entity, or other organization whatsoever, any confidential information that the other party may acquire during the course of such association or otherwise concerning the other party's business, property, contracts, trade secrets, clients or affairs.

8.17. Conditional Bids

Conditional bids shall not be accepted on any ground and shall be rejected straightway. If any clarification is required, the same should be obtained before submission of bids / pre-bid meeting.

8.18. Contacting the Bank

8.18.1. After opening of Bid to the time a communication in writing about its qualification or otherwise received from the Bank, bidder shall NOT contact the Bank on any matter relating to its Bid.

8.18.2. Any effort by the Bidder to influence the Bank in its decisions on Bid evaluation, Bid comparison may result in the rejection of the Bidder's Bid.

8.19. The agency to conduct as good faith and as per good industry practice:

8.19.1. Notwithstanding anything contained in this document. The Agency shall conduct its obligations as an agent in good faith and exercise reasonable care as per good Industry practice and for removal of doubt the degree of care shall in no way less than what it is required as if it were a principle. While acting as an agent the Agency shall be responsible to Bank for all Acts of negligence, and for consequences emanating from lack of exercise of reasonable care. The Agency is bound to supervise the proper execution of contracts entered by it on behalf of Bank as its agent.

8.20. Performance Bank Guarantee (PBG)

8.20.1. The successful Bidder shall provide an unconditional and irrevocable performance bank guarantee from Scheduled Commercial Bank in the form and manner provided by the Bank equivalent to 8% of contract value. The performance guarantee will be valid till at least six months beyond the expiry of the contract period. PBG is to be submitted within ONE month from the date of award of contract as per the format provided by Bank.

8.20.2. In the event of non-performance of obligation or failure to meet terms of this RfP/Contract, the Bank shall be entitled to invoke the performance guarantee without notice or right of demur to the Bidder. The guarantee should be from a scheduled commercial bank only.

8.20.3. In case of expiry of PBG prior to project completion, the bidder will be required to renew the PBG for further period as per plan. If PBG is not submitted within the time stipulated by SIDBI, the Bank reserves the right to cancel the order and forfeit the EMD / Bid Security.

- 8.20.4. PBG would be returned to the successful Bidder after the expiry or termination of the contract plus 90 days on satisfaction of the Bank that there are no dues recoverable from the successful Bidder.
- 8.20.5. Notwithstanding anything to the contrary contained in the contract, SIDBI shall be at liberty to invoke the Performance Bank Guarantee in addition to other remedies available to it under the contract / order or otherwise if the Successful Bidder fails to fulfill any of the terms of contract / order or commits breach of any terms and conditions of the contract.
- 8.20.6. On faithful execution and completion of contract in all respects to the satisfaction of the Bank, the Performance Guarantee of the Bidder shall be released by SIDBI.
- 8.20.7. If aggregated shortfall in achieving Service Level requirement mentioned in the scope of work, SIDBI will, inter alia, be at liberty to invoke the performance guarantee in addition to other remedies available to it under the contract or otherwise.
- 8.20.8.** Time shall be the essence of the contract / order, therefore, no extension of time is anticipated, but if untoward or extraordinary circumstances should arise beyond the control of the Bidder, which in the opinion of SIDBI should entitle the Bidder to a reasonable extension of time, such extension may be considered by SIDBI at its sole and absolute discretion, however such extension shall not operate to relieve the Bidder of any of its obligations. SIDBI shall not be liable for any extra financial commitment due to such extension of time. In case of any such extension, the Bidder would be required to extend the validity period of the performance guarantee accordingly.

8.21. Forfeiture of performance security

- 8.21.1. The Bank shall be at liberty to set off/adjust the proceeds of the performance guarantee towards the loss, if any, sustained due to the bidder's failure to complete its obligations under the contract. This is without prejudice to the Bank's right to proceed against the Bidder in the event of the security being not enough to fully cover the loss/damage.
- 8.21.2. In the event of non-performance of obligation or failure to meet terms of this RfP/Contract, the Bank shall be entitled to invoke the performance guarantee without notice or right of demur to the Bidder.

8.22. Execution of Agreement and NDA

- 8.22.1. The selected bidder should execute agreement with the Bank which will remain valid for at least 2 (two) years. Beyond the two years, the agreement can be extended at the discretion of the Bank with the same scope of work. The agreement would include all the terms and conditions of the services to be extended as detailed herein and as may be prescribed or recommended by the Bank which will include a Non-Disclosure Agreement clause.
- 8.22.2. The agreement with Non-disclosure agreement clauses should be executed within three weeks from the date of issuing sanction letter/letter of intent/work order.
- 8.22.3. The date of sanction letter/letter of intent/work order shall be treated as date of engagement and the time-line for completion of the assignment shall be worked out with reference to this date.

8.23. No Employer-Employee Relationship

- 8.23.1. The selected bidder during the term of the contract and for a period of two years thereafter shall not without the express written consent of the Bank, directly or indirectly:

- 8.23.2. Recruit, hire, appoint or engage or attempt to recruit, hire, appoint or engage or discuss employment with or otherwise utilise the services of any person who has been an employee or associate or engaged in any capacity, by the Bank in rendering the services in relation to the contract; or
- 8.23.3. Induce any person who shall have been an employee or associate of the Bank at any time to terminate his / her relationship with the Bank.
- 8.23.4. Staff deployed by the agency shall never be deemed to be appointed by the bank nor shall they be under its service conditions.
- 8.23.5. Statutory & Regulatory Compliance: The bidder should ensure all statutory and regulatory compliance towards: ESIC & EPFO – All bidders have to ensure that the resources deployed at SIDBI sites are compliant as per the guidelines of ESIC & EPFO and other Statutory and regulatory compliance as may be applicable from time to time with regard to transactions under RfP. The bidder also has to ensure that they are compliant to the all applicable laws for deployment of resources across sites nationwide. The bidder should follow all pay out norms as per the MWA in all the states and SIDBI will not liable for this under any situation. SIDBI may (but shall be obliged) call for proof of such payments by bidder and compliance any other Act/ regulations as may be applicable.

8.24. Rights to Visit

- 8.24.1. All records of the Bidder with respect to any matters covered by this Tender document/ subsequent order shall be made available to SIDBI or its designees at any time during normal business hours, as often as SIDBI deems necessary, to audit, examine, and make excerpts or transcripts of all relevant data.
- 8.24.2. SIDBI, including its regulatory authorities like RBI etc., reserves the right to verify, through their officials or such other persons as SIDBI may authorise, the progress of the project at the development /customization site of the Bidder or where the services are being rendered by the bidder.
- 8.24.3. The Bank and its authorized representatives, including regulator like Reserve Bank of India (RBI) shall have the right to visit any of the Bidder's premises with prior notice to ensure that data provided by the Bank is not misused. The Bidder will have to cooperate with the authorized representative/s of the Bank and will have to provide all information/ documents required by the Bank.
- 8.24.4. The right to visit under these clauses shall be restricted to physical files related to this arrangement. Visit shall be conducted during normal business hours and on normal working days after informing the bidder in advance.

8.25. Audit:

- 8.25.1. The bidder shall allow the Bank, its authorised personnel, its auditors (internal and external), authorised personnel from RBI / other regulatory & statutory authorities and grant unrestricted right to inspect and audit its books and accounts, to provide copies of any audit or review reports and findings made on the service provider, directly related to the services.
- 8.25.2. In case any of the services are further outsourced/ assigned/ subcontracted to other bidders, it will be the responsibility of the bidder to ensure that the authorities /officials as mentioned above are allowed access to all the related places, for inspection and verification.
- 8.25.3. Audit under this clause shall be restricted to physical files related to this arrangement. Audit shall be conducted during normal business hours and on normal working days after informing the bidder in advance.

8.26. IPR Infringement

8.26.1. As part of this project bidder/agency will use software/tool to deliver services. If the deliverables and use of any such software/tool used for such delivery, infringe the intellectual property rights of any third person, bidder/ agency shall be primarily liable to indemnify SIDBI to the extent of direct damages against all claims, demands, costs, charges, expenses, award, compensations etc. arising out of the proceedings initiated by third party for such infringement, subject to the condition that the claim relates to Software provided/used by Bidder/ agency under this project.

8.27. Indemnity

8.27.1. The Bidder/ successful bidder shall indemnify the Bank, and shall always keep indemnified and hold the Bank, its employees, personnel, officers, directors, harmless from and against any and all losses, liabilities, claims, actions, costs and expenses (including attorneys' fees) relating to, resulting directly or indirectly from or in any way arising out of any claim, suit or proceeding brought against the Bank as a result of:

8.27.2. Bank's authorized / bona fide use of the Deliverables and /or the Services provided by Bidder under this RfP document; and/or any subsequent agreement; and/or

8.27.3. An act or omission of the Bidder, employees, agents, sub-contractors in the performance of the obligations of the Bidder under this RfP document or any subsequent agreement; and/or

8.27.4. Claims made by employees or subcontractors or subcontractors' employees, who are deployed by the Bidder, against the Bank; and/or

8.27.5. Breach of any of the term of this RfP document and/or of the agreement to be entered subsequent to this RfP or breach of any representation or false representation or inaccurate statement or assurance or covenant or warranty by the successful Bidder under this RfP document and/or of the agreement to be entered subsequent this RfP; and/or

8.27.6. Negligence or gross misconduct attributable to the Bidder or its employees or sub-contractors.

8.27.7. Any or all Deliverables or Services infringing any patent, trademarks, copyrights or such other Intellectual Property Rights; and/or

8.27.8. Breach of confidentiality obligations of the Bidder contained in this RfP document; and/or

8.27.9. The use of unlicensed and illegal Software and/or allied components by the successful Bidder

8.27.10. The Bidder will have to at its own cost and expenses defend or settle any claim against the Bank that the Deliverables and Services delivered or provided under this RfP document infringe a patent, utility model, industrial design, copyright, trade secret, mask work or trade mark in the country where the Deliverables and Services are used, sold or received, provided the Bank:

8.27.11. Notifies the Bidder in writing; and

8.27.12. Cooperates with the Bidder in the defence and settlement of the claims.

8.27.13. The Bidder shall not be liable for defects or non-conformance resulting from:

8.27.14. Software, hardware, interfacing not approved by Bidder; or

8.27.15. Unauthorized modification of Software or any individual product supplied under this RfP document, or Bank's failure to comply with any mutually agreed environmental specifications.

- 8.27.16. Use of a Deliverable in an application or environment for which it was not designed or not contemplated under this Agreement,
- 8.27.17. Modification of a Deliverable by anyone other than Bidder where the unmodified version of the Deliverable would not be infringing.
- 8.27.18. All Bidders under the RfP absolutely, irrevocably and unconditionally hereby indemnifies and undertakes to keep SIDBI and / or its Directors, officers, employees, agents and representatives, for all times from and against all charges, cost, losses, claims, demands and liabilities, obligations, suits, judgments, penalties, proceedings, precautions, litigations, or actions, financial or otherwise, at law or equity, including the expenses of defending any claim of liability by any third party and from and against all actual damages sustained, whatsoever, whether past, or current suffered or incurred by SIDBI and or its Directors, officers, employees, agents, and representatives due to reasons of
- b. Breach, misconduct, omissions or
 - c. Negligence on the part of Agency and or its directors, employees, in the performance of the services including but not limited to any claim arising out of improper or illegal use or adoption or invasion or infringement of the copyright or intellectual property right.

8.28. Limitation of Liabilities

- 8.28.1. Save and except the liability under Section of 'IPR Infringement' in Clause 9.27 and indemnity provision in Clause 9.28 herein above, in no event shall either party be liable with respect to its obligations under or arising out of this agreement for consequential, exemplary, punitive, special, or incidental damages, including, but not limited to, loss of data / programs or lost profits, loss of goodwill, work stoppage, computer failure, loss of work product or any and all other commercial damages or losses whether directly or indirectly caused, even if such party has been advised of the possibility of such damages. The aggregate liability of bidder / service provider, arising at any time shall not exceed the total contract value.
- 8.28.2. Conflict of Interests: The Bidder shall hold SIDBI's interests paramount, without any consideration for future work, and strictly avoid conflict of interest with other assignments or their own corporate interests. If during the period of the contract entered into pursuant to this RfP, a conflict of interest arises for any reasons, the bidder shall promptly disclose the same to the SIDBI and seek its instructions.

8.29. Confidentiality

- 8.29.1. The RFP document is confidential and is not to be disclosed, reproduced, transmitted, or made available by the Recipient to any other person. Bank may update or revise the RFP document or any part of it. The Recipient acknowledges that any such revised or amended document is received subject to the same confidentiality undertaking. The Recipient will not disclose or discuss the contents of the RFP document with any officer, employee, consultant, director, agent, or other person associated or affiliated in any way with Bank or any of its customers or suppliers without the prior written consent of Bank.
- 8.29.2. This tender document contains information proprietary to the Bank. Each recipient is entrusted to maintain its confidentiality. It should be disclosed only to those employees involved in preparing the requested responses. The information contained in the tender document may not be reproduced in whole or in part without the express permission of the Bank. Disclosure of any such

sensitive information to parties not involved in the supply of contracted services will be treated as breach of trust and could invite legal action. This will also mean termination of the contract and disqualification of the said Bidder.

8.29.3. "Confidential Information" means any and all information that is or has been received by the Bidder ("Receiving Party") from the Bank ("Disclosing Party") and that:

- a. relates to the Disclosing Party; and
- b. is designated by the Disclosing Party as being confidential or is disclosed in circumstances where the Receiving Party would reasonably understand that the disclosed information would be confidential or
- c. is prepared or performed by or on behalf of the Disclosing Party by its employees, officers, directors, agents, representatives or consultants.
- d. without limiting the generality of the foregoing, Confidential Information shall mean and include any information, data, analysis, compilations, notes, extracts, materials, reports, specifications or other documents or materials that may be shared by the Bank with the Bidder.
- e. "Confidential Materials" shall mean all tangible materials containing Confidential Information, including, without limitation, written or printed documents whether machine or user readable.

8.29.4. The Receiving Party shall, at all times regard, preserve, maintain and keep as secret and confidential all Confidential Information and Confidential Materials of the Disclosing Party howsoever obtained and agrees that it shall not, without obtaining the written consent of the Disclosing Party:

8.29.5. Unless otherwise agreed herein, use any such Confidential Information and materials for its own benefit or the benefit of others or do anything prejudicial to the interests of the Disclosing Party or its customers or their projects.

8.29.6. In maintaining confidentiality hereunder, the Receiving Party on receiving the confidential information and materials agrees and warrants that it shall:

- f. Take at least the same degree of care in safeguarding such Confidential Information and materials as it takes for its own confidential information of like importance and such degree of care shall be at least, that which is reasonably calculated to prevent such inadvertent disclosure;
- g. Keep the Confidential Information and Confidential Materials and any copies thereof secure and in such a way so as to prevent unauthorized access by any third party;
- h. Limit access to such Confidential Information and materials to those of its directors, partners, advisers, agents or employees, sub-contractors and contractors who are directly involved in the consideration/evaluation of the Confidential Information and bind each of its directors, partners, advisers, agents or employees, sub-contractors and contractors so involved to protect the Confidential Information and materials in the manner prescribed in this document; and upon discovery of any unauthorized disclosure or suspected unauthorized disclosure of Confidential Information, promptly inform the Disclosing Party of such disclosure in writing and immediately return to the Disclosing Party all such Information and materials, in whatsoever form, including any and all copies thereof.
- i. The Receiving Party who receives the confidential information and materials agrees that on receipt of a written demand from the Disclosing Party:

- (i) Immediately return all written Confidential Information, Confidential materials and all copies thereof provided to, or produced by it or its advisers, as the case may be, which is in Receiving Party's possession or under its custody and control;
- (ii) To the extent practicable, immediately destroy all analyses, compilations, notes, studies, memoranda or other documents prepared by it or its advisers to the extent that the same contain, reflect or derive from Confidential Information relating to the Disclosing Party;
- (iii) So far as it is practicable to do so immediately expunge any Confidential Information relating to the Disclosing Party or its projects from any HW or other device in its possession or under its custody and control; and
- (iv) To the extent practicable, immediately furnish a certificate signed by its director or other responsible representative confirming that to the best of his/her knowledge, information and belief, having made all proper enquiries the requirements of this paragraph have been fully complied with.

8.29.7. The restrictions in the preceding clause shall not apply to:

- (i) Any information that is publicly available at the time of its disclosure or becomes publicly available following disclosure (other than as a result of disclosure by the Disclosing Party contrary to the terms of this document); or any information which is independently developed by the Receiving Party or acquired from a third party to the extent it is acquired with the valid right to disclose the same.
- (ii) Any disclosure required by law or by any court of competent jurisdiction, the rules and regulations of any recognized stock exchange or any enquiry or investigation by any governmental, statutory or regulatory body which is lawfully entitled to require any such disclosure provided that, so far as it is lawful and practical to do so prior to such disclosure, the Receiving Party shall promptly notify the Disclosing Party of such requirement with a view to providing the Disclosing Party an opportunity to obtain a protective order or to contest the disclosure or otherwise agree to the timing and content of such disclosure.
- (iii) The Confidential Information and materials and all copies thereof, in whatsoever form shall at all times remain the property of the Disclosing Party and its disclosure hereunder shall not confer on the Receiving Party any rights whatsoever beyond those contained in this document.

8.29.8. The confidentiality obligations shall survive the expiry or termination of the agreement between the Bidder and the Bank.

8.30. Corrupt and fraudulent practice

8.30.1. As per Central Vigilance Commission (CVC) directives, it is required that Bidders / Suppliers / Contractors observe the highest standard of ethics during the execution of this RfP and subsequent contract(s). In this context, the bidders are requested to note the following:

- 8.30.2. "Corrupt Practice" means the offering, giving, receiving or soliciting of anything of value to influence the action of an official in the procurement process or in contract execution.
- 8.30.3. "Fraudulent Practice" means a misrepresentation of facts in order to influence a procurement process or the execution of contract to the detriment of the Bank and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non- competitive levels and to deprive the Bank of the benefits of free and open competition.
- 8.30.4. The Bank reserves the right to declare a bidder ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the bidder has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

8.31. Grievances Redressal Mechanism

- 8.31.1. Bank has a grievances redressal mechanism for its customers and designated grievances redressal officers. The bank would use the same mechanism to address the grievances, if any, of the customers related to the services being rendered within the ambit of this RfP.

8.32. Miscellaneous / Others

- 8.32.1. Bidder is expected to peruse all instructions, forms, terms and specifications in this RfP and its Annexures.
- 8.32.2. SIDBI shall not be held liable for additional costs incurred during any discussion on contracts or for any work performed in connection therewith.
- 8.32.3. The offers containing erasures or alterations will not be considered. There should be no hand-written material, corrections or alterations in the offer. Technical details must be completely filled up. SIDBI may treat proposals not adhering to these guidelines as unacceptable and thereby the proposal may be liable to be rejected.
- 8.32.4. Bidder shall promptly notify SIDBI of any event or conditions, which might delay the completion of project in accordance with the approved schedule and the steps being taken to remedy such a situation.
- 8.32.5. Bidder shall indemnify, protect and save SIDBI against all claims, losses, costs, damages, expenses, action suits and other proceedings, resulting directly or indirectly from an act or omission of Bidder, its employees, its agents, in the performance of the services provided by contract, infringement of any patent, trademarks, copyrights etc. or such other statutory infringements in respect of all components provided by Bidder as part of the delivery to fulfill the scope of this project.
- 8.32.6. Any publicity by Bidder in which the name of SIDBI is to be used should be done only with the explicit written permission of SIDBI.
- 8.32.7. Bidder is obliged to give sufficient support to SIDBI's staff, work closely with SIDBI's staff, act within its own authority, and abide by directives issued by SIDBI that are consistent with the terms of the order. Bidder is responsible for managing the activities of its personnel, and will hold itself responsible for any misdemeanours.

8.33. Notices

Any notice, request or consent required or permitted to be given or made pursuant to the contract that may be entered into pursuant to this RfP shall be in writing. Any such notice, request or consent shall be deemed to have been given or made when

delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent by registered post to such Party at the address specified in the RfP. A Party may change its address for notice hereunder by giving the other Party notice in writing of such change to the address specified in the RfP.

- 8.33.1. SIDBI reserves the exclusive right to make any amendments/ changes to or cancel any of the above actions or any other action related to this RfP.
- 8.33.2. Personnel engaged by the bidder for performance of its obligations under the work, shall, for all purpose, including applicability of law and welfare enactments, be the employee/staff of the bidder and they shall have no claim to be appointed in the services of the bank. Bidder shall take suitable measures for them in this regard.
- 8.33.3. SIDBI has the sole ownership of and the right to use, all data that may be in possession of the Successful bidder/ agency or its representative during performing the services under the agreement/contract that may be entered. All documents, report, information, data etc. collected and prepared by bidder in connection with the scope of work submitted to SIDBI will be property of the Bank. The bidder shall not be entitled either directly or indirectly to make use of the documents, reports given by SIDBI for carrying out of any services with any third parties. Successful Bidder shall not without the prior written consent of SIDBI be entitled to publish studies or descriptive article with or without illustrations or data in respect of or in connection with the performance of services".
- 8.33.4. No third-party rights. No provision of the RfP the agreement that may be entered into is intended to, or shall, confer any rights on a third-party beneficiary or other rights or remedies upon any person other than the parties hereto; nor impose any obligations on the part of the parties to the agreement towards any third parties."

8.34. Representations and Warranties

To induce SIDBI to enter the Contract/Agreement/RfP, the Vendor/Bidder hereby represents and warrants as of the date hereof, which representations and warranties shall survive the term and termination hereof, the following:

That the Bidder is a company which has the requisite qualifications, skills, experience and expertise in providing Information and Communication Technology (ICT) and other Service(s) contemplated hereunder to third parties, the technical know-how and the financial where with all, the power and the authority to enter into the Contract / Agreement/RfP and provide the Service(s)/Systems sought by SIDBI.

That the Vendor/ Bidder is not involved in any major litigation, potential, threatened and existing, that may have an impact of affecting or compromising the performance and delivery of Service(s) /Systems under the Contract/Agreement/RfP.

That the representations made by the Vendor/ Bidder in its bid are and shall continue to remain true and fulfil all the requirements as are necessary for executing the duties, obligations and responsibilities as laid down in the proposed Contract/Agreement and the Bid Documents and unless SIDBI in writing specifies to the contrary, the Vendor/Bidder shall be bound by all the terms of the bid.

That the Vendor/ Bidder has the professional skills, personnel and resources/authorizations that are necessary for providing all such services as are necessary to perform its obligations under the bid and the proposed Contract/Agreement.

That the Vendor/Bidder shall ensure that all assets including but not limited to software's, licenses, databases, documents, etc. developed, procured, deployed and created during the term of the Bid/Contract/Agreement are duly maintained and suitably updated, upgraded, replaced about contemporary and statutory requirements.

That the Vendor/ Bidder shall use such assets of SIDBI may permit for the sole purpose of execution of its obligations under the terms of the bid, Tender or the Contract/Agreement. The Bidder shall however, have no claim to any right, title, lien or other interest in any such property, and any possession of property for any duration whatsoever shall not create any right in equity or otherwise, merely by fact of such use or possession during or after the term hereof.

That the Vendor/Bidder shall procure all the necessary permissions and adequate approvals and licenses for use of various software and any copyrighted process/product free from all claims, titles, interests and liens thereon and shall keep SIDBI, its directors, officers, employees, representatives, consultants and agents indemnified in relation thereto.

That all the representations and warranties as have been made by the Vendor/Bidder with respect to its bid and Contract / Agreement, are true and correct, and shall continue to remain true and correct through the term of the Contract.

That the Bidder has the corporate power to execute, deliver and perform the terms and provisions of the Bid/Contract/Agreement and has taken all necessary corporate action to authorize the execution, delivery and performance by it of the bid/Contract/Agreement.

That all conditions precedent under the Bid/Contract/Agreement has been complied.

That neither the execution and delivery by the Vendor/Bidder of the Bid/Contract/Agreement nor the Vendor's/ Bidder's compliance with or performance of the terms and provisions of the Bid/Contract/Agreement

(i) will contravene any provision of any applicable law or any order, writ, injunction or decree of any court or governmental authority binding on the Vendor/ Bidder

(ii) will conflict or be inconsistent with or result in any breach of any or the terms, covenants, conditions or provisions of, or constitute a default under any agreement, contract or instrument to which the Vendor/ Bidder is a party or by which it or any of its property or assets is bound or to which it may be subject or

(iii) will violate any provision of the Memorandum and Articles of Association of the Vendor/ Bidder.

ANNEXURE-I**Eligibility Bid - Covering Letter
(To be submitted on Prime Bidder's letter head)**

To:
The Deputy General Manager
Small Industries Development Bank of India
Promotion & Development Vertical
3rd Floor, Atma Ram House, 1 Tolstoy Marg,
Connaught Place, New Delhi - 110001

Dear Sir,
Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters **Tender No. T002207595 dated 30/07/2020**

We, the undersigned bidders, having read and examined the aforesaid RfP document in detail, do hereby propose to extend the services as specified in the above mentioned Tender document and submit the following as per requirement:

1. Bid Price: We have enclosed proof of the online payment towards Tender Fee(Receipt Generated along with Ref. No) of the sum of **Rs.1,180/- (Rupees Eleven Hundred Eighty only)** towards non-refundable bid price for selection of agency for updation of diagnostic studies in selected MSME clusters.
2. Earnest Money Deposit (EMD) / Bid Security: We have enclosed online payment towards EMD Fee(Receipt Generated along with Ref. No)/ Bank Guarantee (BG) of the sum of **Rs.1,40,000/- (Rupees One lakh Forty thousand only)** towards EMD /Bid Security for selection of agency for updation of diagnostic studies in selected MSME clusters. This EMD / Bid Security is liable to be forfeited in accordance with the provisions mentioned in the RfP.
3. Minimum Eligibility bid, Technical bid and Commercial bid inside separate envelopes, in prescribed formats.

We hereby declare that our bid is made in good faith, without collusion or fraud and the information contained in the bid is true and correct to the best of our knowledge and belief.

We also undertake to have read, understood and accepted the terms and conditions specially those related to evaluation and selection processes mentioned in the RfP except the points mentioned in **Annexure-VII (Statement of deviations)** in our bid response. Having submitted our response to the aforesaid RfP, we also understand not to have any option to raise any objection against any of the said processes defined in the RfP in any future date. We understand that our bid is binding on us and persons claiming through us and that you are not bound to accept a bid you receive.

Thanking you,
Yours sincerely,

Date:
Place:

Signature of Authorised Signatory:
Name of the Authorised Signatory:
Designation :
Name of the Organization:
Seal ...

ANNEXURE - II
General Information about bidder

FOR Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters (to be submitted on Agency letter head)

Date: _____

The Deputy General Manager
Small Industries Development Bank of India
Promotion & Development Vertical
3rd Floor, Atma Ram House, 1 Tolstoy Marg,
Connaught Place, New Delhi - 110001

We hereby offer to submit our request for Selection of Agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters **Tender No. T002207595 dated 30/07/2020**. We have read and understood the content of RfP and further state that we unconditionally accept and abide by the terms & conditions specified therein.

Brief Profile of our agency is as under:

Sr. No.	Brief Contents	Application has to be submitted
1	Name of the Agency	
2	Address, Telephone Numbers and Contact person(s)	
	Registered/Head office	
	Address of the other offices in the country	Please enclose Separate sheet along with annexure
	Legal status / Constitution of Agency	(Partnership / Pvt. / Pub. Ltd.)
3	Name of the Partners/ Directors	
4	Name of the Managing Director, Director, Top Management/ Key personnel contact Person/s Contact Tele/Mob. No. Email ID etc.	May enclose Separate sheet along with annexure
5	Details of Key Personnel of Agency who will be involved / coordinate in updation of diagnostic studies in selected MSME clusters (with age, qualifications, experience in years), notable skills and achievements etc. Contact Tele. No. Mobile No. Email ID etc.	
6	Date of Establishment /incorporation & no. of years in similar business	
7	No. and the list of relevant development work being undertaken on similar lines during last 3 years prior to the date of RfP. The relevant order copies to be enclosed.	
	With Public Sector undertaking	
	With Private Sector	
	With Public Sector	
	Others, if any	
	Have you served for SIDBI recently or in past please attach suitable proof.	
	Detail of awards, if any received	

8	Whether Agency is a Micro Small Medium Enterprise (MSME). If startup, please enclose substantiation documents	YES / NO	
9	Infrastructure		
	Details of support staff from the Agency		
	Are you a full or limited service agency?		
	Number of official working (separately for different offices)		
	Research / Analysis tool		
	Power back up		
	Computer hardware and software facilities		
	Working days and hours.		
	Whether you can provide desired service to SIDBI		
	Other details (Attach copy of returns)		
	Income Tax No		
	Sales Tax No.		
	Vat/ Work Contract tax No.		
	Service Tax No		
Nature of Main Activity			
Membership with any organizations			
10	Turn over Details for last 3 FY	(Rs. in Lakh)	
	Year	Gross Turnover	
	(Copies of Audited Balance Sheet, Profit & Loss a/c with Auditors Report to be enclosed for last three years)		
11	List of organization with whom currently empaneled (satisfactory Performance Certificate as per Format – (not more than 1 month old) in support thereof to be enclosed)		
12	Principal Banker & Their Name		
13	Online payment towards EMD Fee (Receipt Generated along with Ref. No)	Dated	
	Amount: Rs. 1,40,000/- enclosed as EMD / Bid Security		
14	Online payment towards Tender Fee (Receipt Generated along with Ref. No)	dated	
	Amount: Rs.1,180/- enclosed as cost of RfP		
15	For the selection of Agency for updation of diagnostic studies in selected MSME clusters, the Agency should submit proven established credentials regarding experience in this line in a summary sheet along with this application.		
16	Declaration: Self declarations on the firm / Company's Letter head that there are no outstanding income tax / sales tax dues/other statutory dues.		
17	Details of awards and accreditation received (attach proof)		
18	Two references from reputed / organizations / institutions		
19	Any other information as aligned to Minimum Eligibility Criteria and technical scoring patterns		
20	All bid documents are scanned/putup in accordance to index furnished covering Eligibility, technical and commercial bids.		

As per the Terms & Conditions, we have enclosed an account Payee Demand Draft/Pay as mentioned above in favour of “**SIDBI**” payable at Delhi.

I/We here by certify that all particulars given above are correct and true to the best of my / our knowledge.

I/We certify that if appointed for assignment, I/We shall appoint separate teams for any competing clients who are in same business as SIDBI to avoid clash of interest and maintenance of confidentiality.

In case at any stage, it is found that the information given by me/us is false/incorrect, SIDBI shall have the absolute right to take any action as deemed fit, (including termination of contract and/or black list and debar), without any prior intimation to me/us.

Date:

Signature of authorized person for and on behalf
Designation/Seal of Agency

ANNEXURE - III
MINIMUM ELIGIBILITY CRITERIA –

Agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters

Eligibility Criteria	Supporting documents required for compliance
<p>The agency should have been in existence in India since April 01, 2016 or earlier. The agency should be a Partnership Firm/Private Limited Company/ Limited Liability Partnership Firm/MNC (Multi National Company) / Public Limited Company / Society / Trust / Section 8 company registered or incorporated in India.</p> <p>(It should not be an Individual / Proprietary Concern / HUF etc.)</p> <p>Start-ups registered under start-up India, GoI shall be exempted for criteria of existence as per extant guidelines.</p>	<p>Self-certified Certificate of Incorporation / Constitutional Documents to be furnished.</p> <p>Startups to furnish proof of registration as Startup with any GOI agency</p>
<p>Have average turnover of not less than Rs.3 crore during last two financial years.</p> <p>Start-ups registered under start-up India, GoI shall be considered as per extant guidelines.</p>	<ul style="list-style-type: none"> ○ Copy of audited Balance Sheets and Profit & Loss Statements for FY 2017-18, FY 2018-19 and FY 2019-20. ○ In case audited financials of FY 2019-20 are not ready, CA certified provisional financial statements for FY 2019-20 may be submitted..
<p>The Bidder should have Positive net worth (tangible) of as on 31st March 2020</p> <p>Net worth is to be calculated as follows: Capital Funds (Paid up Equity Capital + Paid up preference Shares + Free Reserve) – (Accumulated Balance of loss + Balance of deferred revenue expenditure + Other intangible assets).</p>	<p>CA certificate certifying tangible networth as on 31st March 2020.</p>
<p>The agency should have proven track record of executing project/ providing services in development field</p>	<p>A Self certified letter listing the works handled along with supporting evidence thereof.</p> <p>Copy of Work Order and Completion Certificates from the client; 'OR'</p>

	<ul style="list-style-type: none"> • Copy of Work Order and Phase Completion Certificate from the client; 'OR' • Copy of client certificate as documentary proof for the stated criteria and implementation status. <p>Note: All the projects cited should be in the name of the Bidder and not in name of any parent, subsidiary or affiliate entity</p>
The agency / bidder should submit a certificate to the effect that the bidder is not owned or controlled by any director/officer/employee of SIDBI or their relatives.	Self-Declaration by the agency on Company's letter head (Annexure IV)
The agency should not have been penalised or found guilty in any court of law and shall not have been blacklisted / debarred by any Central Government Ministry/ Bank/ RBI/ IBA/ any regulatory authority and not involved in any major litigation that may have impact or compromise the delivery of services required.	Self- Certification by the agency on Company's letter head to be provided. However, SIDBI would have the right to independently verify the same. (Annexure V)
The agency has not defaulted to any Bank within the jurisdiction of India	A self-certified letter to be submitted. However, SIDBI has right to check CIBIL records.
Should have fulfilled its tax obligation to the Govt	Company Secretary or CA certificate (statutory auditors) supporting same on a latest date.
The agency / bidder should submit Pre-Contract Integrity Pact as per format provided at Annexure - XI.	Pre-contract Integrity Pact duly signed by authorised signatory on letter head of the agency
Document Required	

1. Ownership and nature of entity (Public, partnership, subsidiary, etc.).
2. Income Tax returns for past three years.
3. Board resolution authorizing the authorized signatory to sign on behalf of the agency.
4. Proof of address of registered office & Branch offices.
5. GST Registration Certificate

Note:

- a. Documentary evidence must be submitted for each criterion.
- b. Completion Letter/ Reference Letter (Format given) from relevant Senior Executive of the client to be attached for each engagement reference mentioned
- c. Summary of at least 2 projects, giving the following details in a separate sheet:
 - Name of the Client
 - Nature of the Services
 - Scope of the work
 - Number of partners / franchise / NGOs/ CBOs
 - Locations
 - Date of award of Contract
 - Date of commencement of the services
 - Whether the work has been vetted / audited by any independent Agency / Institutional Service providers of Repute, and if so, Outcome thereof.
 - Name of the person who can be referred to from Clients' side, with Name, Designation, Postal Address, Contact Phone and Fax numbers, E-Mail IDs, etc. (Attach copies of contracts)
 - SIDBI reserves the right to ask for additional / alternate documents from the bidder.
 - The service provider's meeting the eligibility criteria as laid out above will be taken forward to the next stage of technical evaluation.
 - Non - submission of any of the specified documents by the bidder would result in rejection of bid.

ANNEXURE - IV

Date:

The Deputy General Manager
Small Industries Development Bank of India
Promotion & Development Vertical
3rd Floor, Atma Ram House, 1 Tolstoy Marg,
Connaught Place, New Delhi - 110001

Dear Sir,

Declaration Regarding not related to SIDBI Tender No. T002207595 dated 30/07/2020

I /we have carefully gone through the Terms & Conditions contained in the Tender No. T002207595 dated 30/07/2020 regarding selection of agency for undertaking diagnostic studies on financial and non-financial issues for selected 30 existing MSME clusters. We further declare that we are eligible and competent as per the eligibility criteria given by the bank and the information submitted by us in the Annexure – III is true and correct and able to perform this contract as per RfP document.

I/We hereby declare that I/we has/have are owned or controlled by any director/officer/employee of SIDBI or their relatives

We further declare that I am / we are eligible and competent as per the eligibility criteria given by the bank and the information submitted by the company in **Annexure – III** is true and correct and also able to perform this contract as per RFP document.

Thanking you,

Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

ANNEXURE - V

Date:

The Deputy General Manager
Small Industries Development Bank of India
Promotion & Development Vertical
3rd Floor, Atma Ram House, 1 Tolstoy Marg,
Connaught Place, New Delhi - 110001

Dear Sir,

Declaration Regarding Clean Track Record
Tender No. T002207595 dated 30/07/2020

I /we have carefully gone through the Terms & Conditions contained in the Tender No. T002207595 dated 30/07/2020 regarding selection of agency for undertaking diagnostic studies on financial and non-financial issues for selected 30 existing MSME clusters. We further declare that we are eligible and competent as per the eligibility criteria given by the bank and the information submitted by us in the Annexure – III is true and correct and able to perform this contract as per RfP document.

I/We hereby declare that I/we has/have not been debarred/ black listed by any Public-Sector Bank, RBI, IBA or any other Government / Semi Government organizations in India during last 3 years from the date of RfP. I/we further certify that I am /we are competent officer in my company to make this declaration that our bid and its terms & conditions is binding on us and persons claiming through us and that you are not bound to accept a bid you receive.

We further declare that I am / we are eligible and competent as per the eligibility criteria given by the bank and the information submitted by the company in **Annexure – III** is true and correct and also able to perform this contract as per RFP document.

Thanking you,
Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

Annexure - VI

Letter of Competence

(To be executed on a non-judicial stamp paper of worth Rs.100/-)

Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters Tender No. T002207595 dated 30/07/2020

This is to certify that we *[Insert name of Bidder]*, address are fully competent in undertaking diagnostic studies on financial and non-financial issues for selected 30 existing MSME clusters as per scope mentioned in the above RfP. This bid is being submitted after fully understanding the objectives of the project and requirements of providing services as mentioned in the captioned RfP.

We certify that the quality and number of resources to be deployed by us will be adequate to deliver the services professionally and competently within the prescribed time frame.

We also certify that all the information given by us in response to this RfP is true and correct.

Thanking you,

Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

Annexure – VII

BANK MANDATE FORM
(To be submitted in Duplicate)

(Please fill in the information in CAPITAL LETTERS. Please TICK wherever it is applicable)

1. Name of Borrower / vendor / supplier : _____

Vendor Code (if applicable)

2. Address of the Borrower / vendor / supplier :

City _____ Pin Code _____

E-mail id: _____

Phone No. _____ with STD code: _____

Mobile No.: _____

Permanent Account Number _____

MSE Registration / CA Certificate _____
(if applicable)

3. Particulars of Bank account:

Beneficiary Name																					
Bank Name						Branch Name															
Branch Place						Branch City															
PIN Code						Branch Code															
MICR No.																					
Account type	Saving					Current			Cash Credit												
Account No.	(as appearing in the Cheque book)																				
<p>(Code number appearing on the MICR¹ cheque supplied by the Bank. Please attach a cancelled cheque of your bank for ensuring accuracy of the bank name, branch name & code and Account Number)</p>																					
IFSC CODE ²	For RTGS transfer					For NEFT transfer															

4. Date from which the mandate should be effective _____ :

I hereby declare that the particulars given above are correct and complete. If any transaction is delayed or not effected for reasons of incomplete or incorrect information, I shall not hold SIDBI / IDBI Bank responsible. I also undertake to advise

any change in the particulars of my account to facilitate updation of records for purpose of credit of amount through **RBI RTGS/NEFT**.

Place : _____

Date : _____

Signature of the party / Authorized

Signatory

Certified that particulars furnished above are correct as per our records.

Bank's stamp :

Date :

(Signature of the Authorized Official from the Banks)

N.B.: RTGS/NEFT charges if any, is to be borne by the party

^{1,2}: Note on IFSC / MICR

Indian Financial System Code (IFSC) is an alpha numeric code designed to uniquely identify the bank-branches in India. This is 11 digit code with first 4 characters representing the bank's code, the next character reserved as control character (presently 0 appears in the fifth position) and remaining 6 characters to identify the branch. The MICR code, (Magnetic Ink Character Recognition) that appears on cheques, has 9 digits to identify the bank-branch. RBI had since advised all the banks to print IFSC on cheque leaves issued to their customers. A customer may also contact his bank-branch and get the IFS Code of that branch.

Annexure – VIII**Pre Contract integrity Pact**

(To be submitted on Letter Head of the Company)

1 General

This pre-bid-pre-contract Agreement (hereinafter called the Integrity Pact) is made at _____ place___ on ---- day of the month of -----, 2019 between Small Industries Development Bank of India, having its Head Office at 15, Ashok Marg, Lucknow – 226001 and inter alia, its Corporate Office at 3rd Floor, Atma Ram House, 1 Tolstoy Marg, Connaught Place, New Delhi – 110001 (hereinafter called the “SIDBI”, which expression shall mean and include, unless the context otherwise requires, its successors and assigns) of the First Part and M/s ----- represented by Shri ----, Chief Executive Officer (hereinafter called the “BIDDER” which expression shall mean and include, unless the context otherwise requires, his successors and permitted assigns) of the Second Part.

WHEREAS the SIDBI proposes to appoint **Agency for undertaking diagnostic studies on financial and non-financial issues for selected 30 existing MSME clusters** and the BIDDER is willing to offer/has offered the services and

WHEREAS the BIDDER is a private company/public company/Government undertaking/partnership/ registered export agency, constituted in accordance with the relevant law in the matter and SIDBI is a corporation set up under an Act of Parliament.

NOW, THEREFORE,

To avoid all forms of corruption by following a system that is fair, transparent and free from any influence /prejudiced dealing prior to, during and subsequent to the currency of the contract to be entered into with a view to :-

Enabling SIDBI to obtain the desired said services at a competitive price in conformity with the defined specifications by avoiding the high cost and the distortionary impact of corruption on public procurement and

Enabling BIDDERS to abstain from bribing or indulging in any corrupt practice in order to secure the contract by providing assurance to them that their competitors will also abstain from bribing and other corrupt practices and SIDBI will commit to prevent corruption in any form by its officials by following transparent procedures.

The parties hereto hereby agree to enter into this integrity Pact and agree as follows:

2 Commitments of SIDBI

- 2.1 The SIDBI undertakes that no official of the SIDBI, connected directly or indirectly with the contract, will demand, take a promise for or accept, directly or through intermediaries, any bribe, consideration, gift, reward, favour or any material or immaterial benefit or any other advantage from the BIDDER, either for themselves or for any person, organization or third party related to the contract in exchange for an advantage in the bidding process, bid evaluation, contracting or implementation process related to the contract.
- 2.2 SIDBI will during the pre-contract stage, treat all BIDDERS alike, and will provide to all BIDDERS the same information and will not provide any such information to any particular BIDDER which could afford an advantage to that particular BIDDER in comparison to other BIDDERS.
- 2.3 All the officials of SIDBI will report to the appropriate Government office any attempted or completed breaches of the above commitments as well as any substantial suspicion of such a breach.

- 2.4 In case any such preceding misconduct on the part of such official(s) is reported by the BIDDER to SIDBI with full and verifiable facts and the same is prima facie found to be correct by SIDBI, necessary disciplinary proceedings, or any other action as deemed fit, including criminal proceedings may be initiated by SIDBI and during such a period shall be debarred from further dealings related to the contract process. In such a case while an enquiry is being conducted by SIDBI the proceedings under the contract would not be stalled.

3 Commitments of BIDDERS

The BIDDER commits itself to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of its bid or during any pre-contract or post-contact stage in order to secure the contract or in furtherance to secure it and in particular commit itself to the following : -

- 3.1 The BIDDER will not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any officials of SIDBI, connected directly or indirectly with bidding process, or to any person, organization or third party related to the contract in exchange for any advantage in the bidding, evaluation, contracting and implementation of the contract.
- 3.2 The BIDDER further undertakes that it has not given, offered or promised to give, directly or indirectly any bribe , gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of SIDBI or otherwise in procuring the Contract or forbearing to do or having done any act in relation to the obtaining or execution of the contract or any other contract with the Government for showing or forbearing to show favour or disfavor to any person in relation to the contract or any other contract with the Government.
- 3.3 BIDDERS shall disclose the name and address of agents and representatives and Indian BIDDERS shall disclose their foreign principals or associates.
- 3.4 BIDDERS shall disclose the payments to be made by them to agents/brokers or any other intermediary, in connection with this bid/contract.
- 3.5 The BIDDER further confirms and declares to SIDBI that the BIDDER is the original manufacture/integrator/authorized government sponsored export entity of the defence stores and has not engaged any individual or firm or company whether Indian or foreign to intercede, facilitate or any way to recommend to SIDBI or any of its functionaries, whether officially or unofficially to the award of the contract to the BIDDER, or has any amount been paid, promised or intended to be paid to any such individual, firm or company in respect of any such intercession, facilitation or recommendation.
- 3.6 The BIDDER, either while presenting the bid or during pre-contract negotiations or before signing the contract, shall disclose any payments he has made, is committed to or intends to make to officials of SIDBI or their family members, agents, brokers or any other intermediaries in connection with contract and the details of services agree upon for such payments.
- 3.7 The BIDDER will not collude with other parties interested in the contract to impair the transparency, fairness and progress of the bidding process, bid evaluation, contracting and implementation of the contract.
- 3.8 The BIDDER will not accept any advantage in exchange for any corrupt practice, unfair means and illegal activities.
- 3.9 The BIDDER shall not use improperly, for purposes of competition or personal gain or pass on the others, any information provided by SIDBI as part of the business relationship, regarding plans, technical proposals and business details, including information contained in any electronic data carrier. The BIDDER also undertakes to exercise due and adequate care lest any such information is divulged.

- 3.10 The BIDDER commits to refrain from giving any complaint directly or through any other manner without supporting it with full and verifiable facts.
- 3.11 The BIDDER shall not instigate or cause to instigate any third person to commit any of the actions mentioned above.
- 3.12 If the BIDDER or any employee of the BIDDER or any person acting on behalf of the BIDDER, either directly or indirectly, is a relative to any of the officers of the BUYER or alternatively, if any relative of the officer of SIDBI has financial interest/stake in the BIDDER's firm, the same shall be disclosed by the BIDDER at the time of filling of tender.
The term 'relative' for this purpose would be as defined in Section 2 (77) of the Companies Act, 2013.
- 3.13 The BIDDER shall not lend to or borrow any money from or enter into any monetary dealings or transactions, directly or indirectly, with any employee of SIDBI.

4 Previous Transgression

- 4.1 The BIDDER declares that no previous transgression occurred in the last three years immediately before signing of this integrity Pact, with any other company in any country in respect of any corrupt practices envisaged hereunder or with any Public Sector Enterprise in India or any Government Department in India that could justify BIDDER's exclusion from the tender process.
- 4.2 The BIDDER agrees that if it makes incorrect statement on this subject, BIDDER can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

5 Earnest Money (Security Deposit)

- 5.1 While submitting commercial bid, the BIDDER shall deposit an amount Rs.1,40,000/- as Earnest Money/Security Deposit, with SIDBI through any of the following instrument.
- (i) Bank Draft or a Pay Order in favour of Small Industries Bank of India, Payable at Delhi or through online mode
 - (ii) A confirmed guarantee by an Indian Nationalised Bank, promising payment of the guaranteed sum to SIDBI immediately on demand without any demur whatsoever and without seeking any reasons whatsoever. The demand for payment by the BUYER shall be treated as conclusive proof of payment.
- 5.2 Earnest Money/Security Deposit shall be valid till the date of bid validity as mentioned in the RfP.
- 5.3 In case of the successful BIDDER a clause would also be incorporated in the Article pertaining to Performance Bond in the Purchase Contract that the provision of Sanctions for Violation shall be applicable for forfeiture of Performance Bond in case of a decision by SIDBI to forfeit the same without assigning any reason for imposing sanction for violation of this Pact.
- 5.4 No interest shall be payable by SIDBI to the BIDDER on Earnest Money/Security Deposit for the period of its currency.

6 Sanctions for Violations

- 6.1 Any breach of the aforesaid provision by the BIDDER or any one employed by it or acting on its behalf (whether with or without the knowledge of the BIDDER) shall entitle SIDBI to take all or any one of the following actions, wherever required :-
- i. To immediately call off the pre contract negotiations without assigning any reason or giving any compensation to the BIDDER. However, the proceedings with other BIDDER(s) would continue
 - ii. The Earnest Money Deposit (in pre-contract stage) and /or Security Deposit/Performance Bond) (after the contract is signed) shall stand forfeited

either fully or partially, as decided by SIDBI and SIDBI shall not be required to assign any reason therefore.

- iii. To immediately cancel the contract, if already signed, without giving any compensation to the BIDDER
 - iv. To recover all sums already paid by SIDBI, and in case of Indian BIDDER with interest thereon at 2% higher than the prevailing Prime Lending Rate of SIDBI, while in case of a bidder from a country other than India with interest thereon at 2% higher than LIBOR. If any outstanding payment is due to the bidder from the buyer in connection with any other contract for any other stores, such outstanding payment could also be utilized to recover the aforesaid sum and interest.
 - v. To encash the advance bank guarantee and performance bond/warranty bond, if furnished by the BIDDER, in order to recover the payments, already made by SIDBI along with interest.
 - vi. To cancel all or any other Contracts with the BIDDER, the BIDDER shall be liable to pay compensation for any loss or damage to SIDBI resulting from such cancellation/rescission and SIDBI shall be entitled to deduct the amount so payable from the money(s) due to the BIDDER
 - vii. To debar the BIDDER from participating in future bidding processes of the buyer or its associates or subsidiaries for minimum period of five years, which may be further extended at the discretion of SIDBI.
 - viii. To recover all sums paid in violation of this Pact by BIDDER(s) to any middleman or agent or broker with a view to securing the contract.
 - ix. In cases where irrevocable Letters of Credit have been received in respect of any contract signed by SIDBI with BIDDER, the same shall not be opened.
 - x. Forfeiture of Performance Bond in case of decision by SIDBI to forfeit the same without assigning any reason for imposing sanction for violation of this Pact.
- 6.2 SIDBI will be entitled to take all or any of the actions mentioned at para 6.1(i) to (x) of this Pact also on the commission by the BIDDER or any one employed by it or acting on its behalf (whether with or without the knowledge of the BIDDER), of an offence as defined in Chapter IX of the Indian Penal code, 1860 or Prevention of Corruption Act, 1988 or any other statute enacted for prevention of corruption.
- 6.3 The decision of SIDBI to the effect that a breach of the provision of this Pact has been committed by the BIDDER shall be final and conclusive on the BIDDER. However, the BIDDER can approach the independent Monitor(s) appointed for the purposes of this Pact.

7 Fall Clause

- 7.1 The BIDDER undertakes that it has not supplied/is not supplying similar products /systems or subsystems at a price lower than that offered in the present bid in respect of any other Ministry/Department of the Government of India or PSU and if it is found at any stage that similar product/systems or sub systems was supplied by the BIDDER to any other Ministry/Department of the Government of India or a PSU at a lower price, then that very price, with due allowance for elapsed time, will be applicable to the present case and the difference in the cost would be refunded by the BIDDER to the BUYER, if the contract has already been concluded.

8 Independent Monitors

- 8.1 SIDBI is in the process of appointing Independent Monitors (hereinafter referred to as Monitors) for this Pact in consultation with the Central Vigilance Commission.
- 8.2 The task of the Monitors shall be to review independently and objectively, whether and to what extent the parties comply with the obligations under this Pact.

- 8.3 The Monitors shall not be subject to instruction by the representatives of the parties and perform their functions neutrally and independently.
- 8.4 Both the parties accept that the Monitors have the right to access all the documents relating to the project/procurement, including minutes of meetings.
- 8.5 As soon as the Monitor notices or has reason to believe, a violation of the Pact, he will so inform the Authority designated by SIDBI
- 8.6 The BIDDER(s) accepts that the Monitor has the right to access without restriction to all Project documentation of SIDBI including that provided by the BIDDER. The BIDDER will also grant the Monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his project documents. The same is applicable to Subcontractors. The Monitor shall be under contractual obligation to treat the information and documents of the BIDDER/Subcontractor(s) with confidentiality
- 8.7 SIDBI will provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the parties. The parties will offer to the Monitor the option to participate in such meetings
- 8.8 The Monitor will submit a written report to the designed Authority of SIDBI within 8 to 10 weeks from the date of reference or intimation to him by SIDBI /BIDDER and should the occasion arise, submit proposals for correcting problematic situations.

9 Facilitation of Investigation

In case of any allegation of violation of any provision of this Pact or payment of commission, SIDBI or its agencies shall be entitled to examine all the documents including the Books of Accounts of the BIDDER and the BIDDER shall provide necessary information and documents in English and shall extend all possible help for the purpose of such examination.

10 Law and Place of Jurisdiction

This Pact is subject to Indian Law. The place of performance and jurisdiction is the seat of SIDBI.

11 Other Legal Actions

The action stipulated in this integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

12 Validity

12.1 The validity of this Integrity Pact shall be from date of its signing and extend upto 5 years or the complete execution of the contract to the satisfaction of both SIDBI and the BIDDER, in case BIDDER is unsuccessful (unsuccessful bidder), this integrity Pact shall expire after six months from the date of the signing of the contract.

12.2 Should one or several provisions of the Pact turn out to be invalid, the remainder of this Pact shall remain valid. In this case, the parties will strive to come to an agreement to their original intentions.

13 The parties hereby sign this integrity Pact, at _____ on _____

SIDBI
Name of the Officer
Designation
SIDBI
Witness

BIDDER

CHIEF EXECUTIVE OFFICER

Witness

1. _____
2. _____

1. _____
2. _____

Letter of Conformity

(To be submitted on Prime Bidder's company letter head)

The Deputy General Manager
Small Industries Development Bank of India
Promotion & Development Vertical
3rd Floor, Atma Ram House, 1 Tolstoy Marg,
Connaught Place, New Delhi - 110001

Dear Sir,

Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters **Tender T002207595 dated 30/07/2020.**

We, the undersigned bidders, having read and examined the aforesaid RfP document, issued by SIDBI (hereinafter referred as 'Bank') do hereby covenant, warrant and confirm as follows:

We hereby agree to comply with all the terms and conditions / stipulations as contained in the RFP and the related addendums and other documents including the changes made to the original tender documents issued by the bank, provided however that only the list of deviations furnished by us in **Annexure-XI** of the main RfP document which are explicitly clarified by the Bank and communicated to us in writing, shall form a valid and binding part of the aforesaid RFP document.

The Bank is not bound by any other extraneous matters or deviations, even if mentioned by us elsewhere either in our proposal or any subsequent deviations sought by us, whether orally or in writing, and the Bank's decision not to accept any such extraneous conditions and deviations will be final and binding on us and persons claiming through us.

We also here by confirm that our prices as specified in our Commercial Bid are as per the Payment terms specified in the Tender document.

Thanking you,
Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...
	Designation ...
	Name of the Organisation ...
	Seal ...

Annexure - X

Non-Disclosure Agreement

(Sample Format – To be executed on a non-judicial stamped paper of requisite value)

WHEREAS, we, * _____ * *description depends upon legal status of the party*, having Registered Office at _____, (hereinafter referred to as the COMPANY, which expression shall include its successor and permitted assignees), are agreeable to execute “**Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters**”, as per proposal reference **Tender No. T002207595 dated 30/07/2020** for Small Industries Development Bank of India, having its Head office at SIDBI Tower, 15 Ashok Marg, Lucknow, 226001, and office at 3rd Floor, Atma Ram House, 1 Tolstoy Marg, Connaught Place, New Delhi – 110001 (hereinafter referred to as the BANK) and

WHEREAS, the COMPANY understands that the information regarding the Bank's Infrastructure shared by the BANK in their Request for Proposal is confidential and/or proprietary to the BANK, and

WHEREAS, the COMPANY understands that in the course of submission of the offer for the said RfP and/or in the aftermath thereof, it may be necessary that the COMPANY may perform certain jobs/duties on the Bank's properties and/or have access to certain plans, documents, approvals, data or information of the BANK;

NOW THEREFORE, in consideration of the foregoing, the COMPANY agrees to all of the following conditions, in order to induce the BANK to grant the COMPANY specific access to the BANK's property/information, etc.;

The COMPANY will not publish or disclose to others, nor, use in any services that the COMPANY performs for others, any confidential or proprietary information belonging to the BANK, unless the COMPANY has first obtained the BANK's written authorisation to do so;

The COMPANY agrees that information and other data shared by the BANK or, prepared or produced by the COMPANY for the purpose of submitting the offer to the BANK in response to the said RfP, will not be disclosed to during or subsequent to submission of the offer to the BANK, to anyone outside the BANK.

The COMPANY shall not, without the BANK's written consent, disclose the contents of this Request for Proposal (Bid) or any provision thereof, or any specification, plan, pattern, sample or information (to be) furnished by or on behalf of the BANK in connection therewith, to any person(s) other than those employed/engaged by the COMPANY for the purpose of submitting the offer to the BANK and/or for the performance of the Contract in the aftermath. Disclosure to any employed/ engaged person(s) shall be made in confidence and shall extend only so far as necessary for the purposes of such performance.

If a court finds any provision of this Agreement invalid or unenforceable, the remainder of this agreement shall be interpreted so as best to effect the intent of the parties.

Yours sincerely,

Date	Signature of Authorised Signatory ...
Place	Name of the Authorised Signatory ...

Designation ...
Name of the Organisation ...
Seal ...

Annexure - XI

(To be submitted on Bidder's company letter head)

Statement of Deviations

Selection of agency for **undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters** Tender No. **T002207595 dated 30/07/2020**

Bidders are required to provide details of all deviations, comments and observations or suggestions in the following format with seal and signature. You are also requested to provide a reference of the page number, state the clarification point and the comment/ suggestion/ deviation that you propose as shown below.

SIDBI may at its sole discretion accept or reject all or any of the deviations. However, it may be noted that the acceptance or rejection of any deviation by SIDBI will not entitle the bidder to submit a revised commercial bid.

Further, any deviation mentioned elsewhere in the response other than in this format shall not be considered as deviation by SIDBI.

List of Deviations					
S. N.	Clarification point as stated in the tender document	Page No. In RfP	Section No. in RfP	Comment/ Deviation	Suggestion/
1.					
2.					
3					

<additional rows may be added, if required>

Signature of Authorised Signatory:

Date
Place

Name of the Authorised Signatory:
Designation:
Name of the Organisation:
Seal:

Seal ...

Annexure - XII

PARTICULARS FOR Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters - COMMERCIAL BID (to be submitted on their letter head)

Date: _____
 Deputy General Manager
 Small Industries Development Bank of India
 Promotion & Development Vertical
 3rd Floor, Atma Ram House, 1 Tolstoy Marg,
 Connaught Place, New Delhi - 110001

We hereby offer to submit our request for Selection of agency for undertaking diagnostic studies on financial and non-financial issues for selected 30 MSME clusters (pocket wise) We have read and understood the content of RfP. We unconditionally accept & abide by the terms & conditions specified therein.

PART A	
Particulars	Amount in Rs.
Administrative fee	
PART B	
Travel, Boarding & lodging (if any)	
Total cost (excluding all taxes)**	
Total cost (including all taxes)	

**NOTE - The commercial bid evaluation shall be done on the basis of cost quoted excluding taxes.

I/We here by certify that all particulars given above are correct and true to the best of my/our knowledge.

I/We certify that if appointed for assignment, I/We shall appoint separate teams for any competing clients who are in same business as SIDBI to avoid clash of interest and maintenance of confidentiality.

In case at any stage, it is found that the information given by me/us is false/incorrect, SIDBI shall have the absolute right to take any action as deemed fit (including termination of contract and or black list and debar), without any prior intimation to me/us.

Date: _____
 Designation/Seal of Agency

Signature of authorized person for and on behalf

Annexure XIII**Performance Bank Guarantee**

(To be executed on a non judicial stamp paper of requisite value by the Shortlisted Bidder)

KNOW ALL MEN BY THESE PRESENTS that in consideration of the Small Industries Development Bank of India (SIDBI), a Corporation constituted and established under the Small Industries Development Bank of India Act, 1989, and having its Head Office at SIDBI Tower, 15 Ashok Marg, Lucknow, 226001, and office at * *description depends upon legal status of the party* (hereinafter called SIDBI) having agreed to award a contract to M/s. ' _____ having its office at ' _____, (hereinafter called "the Service Provider") for "Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters " on the terms and conditions contained in the Purchase order No..... dated _____ placed with the Service Provider and SIDBI (hereinafter called "the said Order") which terms, inter-alia, stipulates for submission of performance Bank guarantee equivalent to 8% of contract value i.e. _____ (Rupees _____ only), for the due fulfillment by the Service Provider of the terms and conditions of the said Order.

At the request of the Service Provider, (Bank name & address) _____, having its principal/ registered office at _____ and, for the purposes of this Guarantee, acting through its branch namely (Bank name & address) _____ (herein after referred to as (Bank name) _____ which term shall mean and include, unless to repugnant to the context or meaning thereof, its successors and permitted assigns), hereby issue our guarantee No _____ in favour of **Small Industries Development Bank of India (SIDBI)**

We, do hereby unconditionally and irrevocably undertake to pay to SIDBI, without any demur or protest, merely on receipt of a written demand in original before the close of banking business hours on or before _____, at our counters at (Bank address) _____ from SIDBI, an amount not exceeding _____ by reason of any breach by the Service Provider of the terms and conditions contained in the said Agreement, the opinion of SIDBI regarding breach shall be final, conclusive and binding.

We do hereby guarantee and undertake to pay forthwith on written demand to SIDBI such sum not exceeding the said sum of ` . _____ (Rupees _____ only) as may be specified in such written demand, in the event of the Service Provider failing or neglecting to perform the said Order for "**Agency for undertaking diagnostic studies on financial and non-financial issues for selected MSME clusters**" to SIDBI in the manner and in accordance with the design specification, terms and conditions, contained or referred to in the said Order during its tenure.

We further agree that the guarantee herein contained shall remain in full force and effect till all obligations of Service Provider under or by virtue of the said Order have been fully and properly carried out or till validity date of this guarantee i.e. _____, whichever is earlier.

We undertake to pay to SIDBI all the money as per this Guarantee, notwithstanding any dispute or disputes raised by the Service Provider in any suit or proceeding pending before any court, tribunal or authority relating thereto or otherwise and our liability under these being absolute and unequivocal.

We further agree with you that SIDBI shall have the fullest liberty without our consent and without affecting in any manner our obligation hereunder (i) to vary any of the terms and conditions of the said Order (ii) to extend time for performance by the said Service Provider from time to time or postpone for any time (iii) to exercise or forbear to exercise any of the

powers exercisable by SIDBI against said Service Provider and to forbear or enforce any of the terms and conditions relating to the said agreement and we shall not be relieved from our liability by reasons of any such variations or modifications or extension being granted to the said Service Provider for any forbearance act or omission on the part of SIDBI or any indulgence by the SIDBI to the said agreement or by any such matter or thing whatsoever which under the law relating to sureties would, but for this provisions, have an effect of so relieving us. However, nothing contained hereinbefore shall increase our liability under the guarantee above _____ or extend beyond _____

The liability under this guarantee is restricted to ` _____ (Rupees _____ only) and will expire on (date) _____ and unless a claim in writing is presented to us at counters at (bank & address) _____ on or before (date) _____ all your rights will be forfeited and we shall be relieved of and discharged from all our liabilities hereunder.

The Guarantee herein contained shall not be determined or affected by Liquidation or winding up or insolvency or closure of the Service Provider or any change in the constitution of the Service Provider or of the Bank.

The executants has the power to issue this guarantee on behalf of the Bank and they hold full and valid Power of Attorney granted in their favour by the Bank authorizing them to execute this guarantee.

Notwithstanding anything contained hereinabove, our liability under this guarantee is restricted to ` _____ (Rupees).

This guarantee shall remain in force until (date) _____. Our liability hereunder is conditional upon your lodging a demand or claim with us and unless a demand or claim is lodged with us on or before (date) _____, your rights under the guarantee shall be forfeited and we shall not be liable there under. This guarantee shall be governed by and construed in accordance with the laws of India. The Guarantee will be returned to the Bank when the purpose of the guarantee has been fulfilled or at its expiry, whichever is earlier.

We, (bank name, place) _____ lastly undertake not to revoke this guarantee during its currency except with the previous consent of SIDBI in writing.

Notwithstanding anything to the contrary contained herein, the liability of (bank name & place) under this guarantee is restricted to a maximum total amount of ` _____ (Rupees _____).

Our liability pursuant to this guarantee is conditional upon the receipt of a valid and duly executed written claim, in original, by (bank name & address) _____, delivered by hand, courier or registered post, prior to close of banking hours on (date) _____, failing which all rights under this guarantee shall be forfeited and (bank name & place) _____ shall be absolutely and unequivocally discharged of all of its obligations hereunder. This Guarantee shall be governed by and construed in accordance with the laws of India and competent courts in the city of (place _____) shall have exclusive jurisdiction.

Kindly return the original of this guarantee to (bank name & address) upon the earlier of (a) its discharge by payment of claims aggregating to ` _____ (Rupees _____) (b) fulfillment of the purpose for which this guarantee was issued; or (c) ____ (date)"

All claims under this guarantee will be made payable at (bank name & address)
_____ by way of DD payable at Delhi.

In witness where of we have set and subscribed our hand and seal this
..... day of2019.

SIGNED, SEALED AND DELIVERED.

BY

AT

IN THE PRESENCE OF WITNESS :

- 1) Name.....
Signature.....
Designation.....
- 2) Name.....
Signature.....
Designation.....

Annexure XIV**EMD / Bid Security Form****(Sample Format - TO BE EXECUTED ON A NON-JUDICIAL STAMPED PAPER)****To: SMALL INDUSTRIES DEVELOPMENT BANK OF INDIA**

WHEREAS (Name of Vendor) (hereinafter called the 'the Vendor') has undertaken, in pursuance of Request for Proposal (RFP) No. **T002207595 dated 30/07/2020** for "Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters ." (Herein after called the 'the RFP') to you.

AND WHEREAS, it has been stipulated by you in the said RFP that the Vendor shall furnish you with a Bank Guarantee from a commercial Bank for the sum specified therein, as security for compliance with the Vendor's performance obligations in accordance with the RFP.

AND WHEREAS we -----Bank having its registered / head office at ---- and inter alia a branch office situated at ----- have agreed to give a performance guarantee of **8% of contract value** i.e. ----- (Rupees ----- only) on behalf of the Vendor.

We -----**Bank** further undertake not to revoke and make ineffective the guarantee during its currency except with the previous consent of the buyer in writing.

We ----- Bank do hereby unconditionally and irrevocably undertake to pay to SIDBI without any demur or protest, merely on demand from SIDBI, an amount not exceeding Rs. ----- (----- only) by reason of any breach of the terms of the RFP dated 30/07/2020 by vendor. We hereby agree that the decision of the SIDBI regarding breach of the terms of the RFP shall be final, conclusive and binding

WE do hereby guarantee and undertake to pay forthwith on demand to SIDBI a sum not exceeding `...../- (Rupees only) (amount of the Guarantee in words and figures) and we undertake to pay you upon your first written demand declaring the Vendor to be in default under the RFP and without cavil or argument, any sum or sums within the limit of `...../- (Rupees only) (Amount of guarantee) as aforesaid, without your needing to prove or to show grounds or reasons for your demand or the sum specified therein.

Our obligation to make payment under this Guarantee shall be a primary, independent and absolute obligation and we shall not be entitled to delay or withhold payment for any reason. Our obligations hereunder shall not be affected by any act, omission, matter or thing which but for this provision might operate to release or otherwise exonerate us from our obligations hereunder in whole or in part, including and whether or not known to us or you:

1. Any time or waiver granted to the vendor;
2. The taking, variation, compromise, renewal or release of or refusal or neglect to perfect or enforce any rights, remedies or securities against the vendor;
3. Any Variation of or amendment to the RFP or any other document or security so that references to the Contract in this Guarantee shall include each such Variation and amendment;
4. any unenforceability, invalidity or frustration of any obligation of the VENDOR or any other person under the RFP or any other document or security waiver by you of any

of the terms provisions conditions obligations UNDER RFP or any failure to make demand upon or take action against the VENDOR;

5. any other fact, circumstance, provision of statute or rule of law which might, were our liability to be secondary rather than primary, entitle us to be released in whole or in part from our undertaking; and;
6. any change in constitution of the vendor;
7. any petition for the winding up of the VENDOR has been admitted and a liquidator or provisional liquidator has been appointed or an order of bankruptcy or an order for the winding up or dissolution of the vendor has been made by a Court of competent jurisdiction;

The written demand referred to in paragraph above shall be deemed to be sufficiently served on us if you deliver to us at the address as set out in paragraph 3.

This guarantee is valid until the day of And a claim in writing is required to be presented to us within three months from i.e. on or before ----all your rights will be forfeited and we shall be relieved of and discharged from all our liabilities mentioned hereinabove.

Signature and Seal of Guarantors (Vendor's Bank)

.....

Date.....

Address

.....

.....

Annexure-XVI

Power of Attorney
RfP no. T002207595 dated 30/07/2020

(Sample Format – To be executed on a non-judicial stamped paper of Rs.100/-)

BY THIS POWER OF ATTORNEY executed on _____, 2019, We _____, a Company incorporated under the Companies Act, 1956, having its Registered Office at _____ (hereinafter referred to as “the Company”) doth hereby nominate, constitute and appoint **<Name>**, **<Employee no.>**, **< Designation>** of the Company, as its duly constituted Attorney, in the name and on behalf of the Company to do and execute any or all of the following acts, deeds, matters and things, namely :-

Execute and submit on behalf of the Company a Proposal and other papers / documents with ‘Small Industries Development Bank of India’ (“SIDBI”) relating to ‘for “Selection of agency for undertaking diagnostic studies-mapping financial & non-financial gaps for selected 30 MSME clusters ” and to attend meetings and hold discussions on behalf of the Company with SIDBI in this regard.

THE COMPANY DOTD hereby agree to ratify and confirm all whatsoever the attorney shall lawfully do or cause to be done under or by virtue of these presents including anything done after revocation hereof but prior to actual or express notice thereof being received by the person or persons for the time being dealing with the attorney hereunder.

IN WITNESS WHEREOF, _____ has caused these presents to be executed by _____ on the day, month and year mentioned hereinabove.

For and on behalf of the Board of Directors of

WITNESS:

Signature of _____

Attested

FORMAT-I**PARTICULARS IN RESPECT OF AGENCY TO OPERATE SIMILAR CONTRACT OBTAINED IN THE LAST FIVE YEARS**

Sr. No.	Name and address of the client with contact numbers	Value of work executed	Date of award of contract	Period of Contract		Details of feedback / appreciation letters (attach proof)
				From	To	

Please enclose the copies of work order / agreement and completion certificate from the client (employer) for each of the work.

FORMAT-II**DETAILS OF WORK WITH OTHER INSTITUTIONS**

S. No.	Name and address of institution with contact No	Category / Type of work for which engaged	Registered/ for value of work upto `	Date of engagement and validity

Please enclose the copies of letter of empanelment of each organization.

FORMAT III

(on letterhead duly stamped and signed)

Date:

TO WHOMEVER IT MAY CONCERN

This is to certify that M/s _____ is agency appointed to manage (name of the project) _____ since _____. The performance of the agency is satisfactory during their tenure with us.

Name & Designation of the Signatory

(Duly supported by the rubber stamp of the institution)

FORMAT –IV

DETAILS OF RESOURCES / INFRASTRUCTURE FACILITIES

1	Details of Manpower	
	() Senior executives	
	(a) Assistant Manager / managers	
	(b) Supervisor	
	(c) skilled manpower	
2	Details of infrastructure facilities available (details of Inhouse facilities/experience)	
3	Any other relevant information.	

FORMAT V

FORMAT OF FINANCIAL INFORMATION (TURNOVER / PROFIT & LOSS, ETC.) DURING LAST THREE YEARS)

S.No.	Financial Year	Annual Turnover (in ` lakh)	Profit / Loss (in ` lakh)	Net Worth (in ` lakh)	Documents Enclosed as proof

Note: please enclose copy of Profit & Loss account and Balance Sheet duly audited / certified by CA.

Annexure A

Identified Clusters for Undertaking Diagnostic Studies

SL NO	UT/ STATE	CLUSTER	Districts	Number
1	Jammu and Kashmir	Wood Products	Baramulla	1
2	Ladakh	Wood Carving	Leh	2
3	Uttarakhand	Pharmaceuticals	Haridwar	3
		Rice Mills	Udham Singh Nagar	4
4	Delhi	Electronics	Okhla	5
5	Haryana	General Engineering	Gurgaon	6
		Stone Crushing	Faridabad	7
		Powerloom textile	Panipat	8
6	Rajasthan	Kota Stone Cluster	Kota	9
		Soap Manufacturing	BARAN	10
		Marble	SIROHI	11
		Herbal products	DHOLPUR	12
7	Uttar Pradesh	Mustard Oil	Shravasti	13
		Mustard Oil	SIDDHARTHANAGAR	14
		Rice Mill	SIDDHARTHANAGAR	15
		Floor Covering	Sonbhadra	16

		Food Processing	Sonbhadra	17
8	Assam	Cane & Bamboo, Chakchaka	Barpeta	18
		Cane Furniture, Bohori reserve	Barpeta	19
		Pyranga Eri Spinning	Pyranga Eri Spinning, Assam	20
		Paper Products	Anand	21
10	Maharashtra	Agriculture Implements	Jalgaon	22
		Foundary	GADCHIROLI	23
11	Karnataka	Agri Implements	Gadak	24
12	Andhra Pradesh	Steel Fabrication Cluster	Guntur	25
		General Engineering Cluster	Guntur	26
		Auto General Engineering Cluster, Rajahmundry	East Godavari	27
		Pharma Cluster, Parawada	Vishakhapatnam	28
13	Tamil Nadu	Jewellery Cluster, Paramakudi	Ramamathapuram	29
		Surgical Cotton Guaze and Bandage Cloth Clusters in Chathrappatti	Virudhunagar	30